

Supporting information: EPAS action types and templates

Contents

1.	EPA	S action types	.2
2.	Hov	v individual actions are presented	.4
	2.1	EPAS action template for RMT actions	.4
	2.2	EPAS action template SPT actions	.6
	2.3	EPAS action template for RES actions	.8
	2.4	EPAS action template for EVT actions	.9
	2.5	EPAS action template for MST actions	11



1. EPAS action types

The European Plan for Aviation Safety (EPAS) is articulated around five different types of actions, defined to improve aviation safety, efficiency/proportionality, address the level playing field and environmental protection, as follows:

Rulemaking tasks (RMTs)

RMTs lead to new or amended regulatory material (implementing rules, acceptable means of compliance (AMC) or guidance material (GM)), but the related work is usually not limited to rules drafting. Depending on the scope and issues addressed, a rulemaking project may also include implementation support activities, such as the organisation of conferences, workshops, roadshows, the creation of frequently asked questions (FAQs), etc. An RMT may also be supported by a dedicated safety promotion task (SPT) managed in accordance with EASA's Safety Promotion Strategy (see EPAS Volume I Section 3.2.2), or by a research action (see EPAS Volume I Section 3.2.1).

For confirmed RMTs, the information gathered for the BIS will be reviewed to provide the RIA as part of the NPA/Opinion and the Decision. Information and data provided at the BIS stage will be updated, as necessary.

Regular-update tasks 'address miscellaneous issues of non-controversial nature' as described in Article 3 of MB Decision No 18/2015¹. These tasks are programmed as and when subjects arise, and therefore do not follow a common timeline. Regular updates of the implementing rules and related AMC & GM are initiated when relevant data is available to support the need for an update, e.g. following a regular update of ICAO Standards and Recommended Practices (SARPs), updated industry standards or feedback from certification activities or to address minor issues raised by the stakeholders. Due to their nature (minor, non-controversial issues), an impact assessment is generally not required for these projects.

Safety promotion tasks (SPTs)

SPTs involve creating interesting and engaging safety training, awareness/education and marketing that material to further engage and interact with relevant aviation stakeholders in order to positively influence or change individual behaviour with the ultimate objective of achieving predetermined aviation safety objectives. It includes the promotion of safety topics, rulemaking and awareness, communicating about safety intelligence, priorities and actions and other tasks to raise awareness with individuals, as well as organisations. SPTs can involve a wide range of deliverables that include guides, videos, text for use in websites and printed media, social media and outreach activities.

Note: EASA undertakes additional, regular or ad hoc implementation support/safety promotion activities, in the form of thematic workshops, roadshows, conferences and events. Furthermore, in certain cases, information needs to be disseminated quickly in reaction to a safety issue (e.g. publication of a Safety Information Bulletin (SIB)). While all of these support the EPAS objectives, not all of them qualify for a formal EPAS action. Where such an activity can be conducted as part of normal operational tasks, the EPAS prioritisation mechanisms do not apply.

Research actions (RES)

RES actions are innovation- and/or efficiency-related research projects to support the safe integration of new technologies and concepts, and to measure the improvement of the environmental performance of the aviation sector. RES actions may also be triggered by the need to better understand a safety issue in view of

http://www.easa.europa.eu/the-agency/management-board/decisions/easa-mb-decision-18-2015-rulemaking-procedure



Supporting information: EPAS action types and templates

determining intervention strategies in the future, or to assess the effectiveness of existing regulations, as an alternative to evaluations. The research projects included in EPAS are those triggered by safety recommendations (SRs) addressed to EASA and those either already covered by a funding source or likely to be funded by the start of the reference period of a given EPAS.

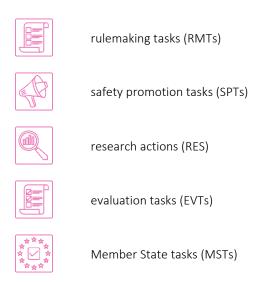
Evaluation tasks (EVTs)

EVTs are used to assess whether existing aviation regulations and related initiatives (e.g. SPTs) are delivering the expected results at minimum cost. For further details, refer to supporting document 'How EPAS is developed', Paragraph 3.7.

Member State tasks (MSTs)

MSTs are actions that are owned by Member States and that have to be considered for their SPAS, together with the main risks identified in EPAS relevant for the State, as required by Article 8 of the Basic Regulation. MSTs may derive from operational safety issues identified in the Annual Safety Review (ASR), safety priorities identified in GASP or through the EASA Standardisation process (hence supported by Standardisation data). Related actions are defined in close collaboration with Member States, through the different ABs. Safety priorities include, but are not limited to, maintaining effective oversight capabilities, the implementation of effective SSPs and related State safety action planning. Many MSTs take the form of continuous actions to ensure continuous monitoring of the underlying safety risks and regular monitoring on progress of those Member State actions. Results of such monitoring are discussed with Member States during the regular Technical Body (TeB) meetings. EASA may further support the implementation of specific MSTs through thematic workshops, targeted implementation support actions, training sessions, etc. During such implementation support actions, different implementation approaches, difficulties or best practices are brought up and discussed to enhance collaboration amongst Member States and between Member States and EASA, as well as to further strengthen oversight capabilities and support State safety management. Activities to support the implementation of MSTs are not captured as distinct EPAS actions.

Each of these five actions types is visually reflected starting with the EPAS 2021 – 2025 edition (and its related supporting documents) with specific icons, as follows:





2. How individual actions are presented

Each of the five existing EPAS action types is presented using one of the following templates. For each of those, the 'main driver' is indicated by means of an icon, as follows:



Safety



Level Playing Field



Efficiency



Environment.

2.1 EPAS action template for RMT actions

RMT.XXXX	Title				
Main driver	(1) text				
icon					
Status	(2) text				
SIs/SRs	(3) text				
Reference(s)	(4) text				
Dependencie	es (5) text				
Affected stakeholders		(6) text			
Owner		(7)	Full name of the EASA Department, if applicable		
Priority	(8) text	RM Procedure	(9) acronym	Harmonisation	(10) Yes/No
		PL	ANNING MILESTONES		
SubT ToR		NPA	Opinion	Commission IR	Decision
1-n	late/reference ar/quarter	(11) date/reference or year/quarter	(11) date/reference or year/quarter	(11) date/reference or year/quarter	(11) date/reference or year/quarter
		CHAN	IGES SINCE LAST EDITIO	N	
(12) text					

For each RMT action, a unique reference and title are provided. Tasks newly added in each EPAS edition are identified by using red colour in the action number and by adding 'new' in the status field (2) of that respective EPAS edition.

For RMTs, the following information is provided:

The 'Main driver': safety, efficiency/proportionality, level playing field, environment is reflected as an icon.

'HF' will be added below the 'Main driver' icon to indicate that the action has a strong human factors component.

- (1) 'Action description': issue, objective and rationale.
- (2) 'Status': new, ongoing, completed, not started, on-hold, merged, etc.
- (3) 'SIs/SRs': the safety issue or issues that this action aims to address, in accordance with the related safety risk portfolio and/or safety recommendations that are relevant to the action.





- (4) 'Reference(s)': related actions in other plans (e.g. ATM Master Plan, GASP) or other important reference documents, where relevant.
- (5) 'Dependencies': other EPAS actions that enable or affect the implementation of this EPAS action. Note that such dependencies are not necessarily bi-directional.
- (6) 'Affected stakeholders'
- (7) 'Owner of the action': e.g. EASA department.
- (8) 'Priority': YES or NO, on the basis of the strategic priorities defined in EPAS Volume I Chapter 3.
- (9) 'RM Procedure': the applicable rulemaking (RM) procedure in accordance with EASA MB Decision No 18/2015 'Rulemaking Procedure'. Possible entries are:
- DP: Direct publication: Article 15 of the Rulemaking Procedure;
- AP: Accelerated procedure: Article 16 of the Rulemaking Procedure; or
- ST: Standard procedure.
- (10) 'Harmonisation': an indication as to whether the RMT is aimed at harmonising the rules with those of third countries², in order to alleviate differences between EASA and other aviation authorities, in particular those with which the EU has concluded a bilateral aviation safety agreement (BASA) (currently: Brazil, Canada, China, Japan and USA), while ensuring an equivalent level of safety. Possible entries are: Yes/No.

Note 1: An entry 'no' does not mean that EASA is not coordinating the activity with the third country.

<u>Note 2</u>: Alignment with ICAO SARPs is not indicated through the harmonisation field, but explained in the task description.

- (11) 'Planning milestones': date/reference or year/quarter for each deliverable. The *rulemaking process* deliverables are: ToR, NPA, Opinion and Decision. The *legislative process deliverable* is the Commission Implementing Rule (IR). IRs may take the form of delegated acts (DA) or implementing acts (IA), depending on the topic and domain.
- Where the documents are already delivered, the document reference and the publication date are provided in date format: dd/mm/yyyy.
- For documents yet to be delivered, the planned date for publication is provided in year and quarter format: yyyy Qn.
- In case an RMT has several subtasks, a separate line will provide deliverables and planning milestones for each subtask (1-n), and where different, applicable RM procedure will be indicated next to the SubT nb.
- (12) 'Changes since last edition': Any changes in the scope of the given task since the previous EPAS edition. If not applicable or for tasks newly added for this edition, 'n/a' is indicated.

The intention of harmonising rules can materialise in common rulemaking activities with bilateral partners developing the same or similar rule text, in EASA developing a rule harmonised with a rule that already exists within the regulatory framework of a bilateral partner, or in close cooperation with the bilateral partners.



2.2 EPAS action template SPT actions

SPT.XXXX	Title			
Main driver	(1) text			
icon				
Status	(2) text			
SIs /SRs	(3) text			
Reference(s)	(4) text			
Dependencies	(5) text			
Affected stakeho	olders	(6) text		
Owner		(7) acronym	Full name of the action owner	
			EXPECTED OUTPUT	
Deliverable(s)				Timeline
(8) text				(9) date/reference or year/quarter
		СН	IANGES SINCE LAST EDITION	
(10) text				

For each SPT action, a unique reference and title are provided. Tasks newly added in each edition, are identified by using red colour in the action number and by adding 'new' in the status field (2) of the respective EPAS edition.

For SPTs, the following information is provided:

The 'Main driver': safety, efficiency/proportionality, level playing field, environment is reflected as an icon.

- (1) 'Action description': issue, objective and rationale.
- (2) 'Status': new, ongoing, completed, on-hold, de-prioritised, merged, etc.
- (3) 'SIs/SRs': the safety issue or issues that this action aims to address, in accordance with the related safety risk portfolio and/or safety recommendations that are relevant to the action.
- (4) 'Reference(s)': related actions in other plans (e.g. ATM Master Plan, GASP) or other important reference documents.
- (5) 'Dependencies': other EPAS actions that enable or affect the implementation of this EPAS action. Note that such dependencies are not necessarily bi-directional.
- (6) 'Affected stakeholders'.
- (7) 'Owner of the action': e.g. EASA department; Safety Promotion Network (SPN), Member States.
- (8) 'Deliverable(s)': type of deliverable (report, best practice, guidance material, study, etc.).
- (9) 'Timeline': planning milestone for the deliverable:
- Where the deliverable is already published/available, the date is provided in date format: dd/mm/yyyy.
- For deliverables yet to be published/made available, the planned date for completion is provided in year
 and quarter format: yyyy Qn (n = 1-2-3-4).



Supporting information: EPAS action types and templates

 In case an action has several subtasks, a separate line will provide deliverables and planning milestones for each subtask (1-n).

The same logic applies to EVT actions. In addition to the above information, the planning milestone/delivery date for the EVT report is provided.

(10) 'Changes since last edition': Any changes in the scope of the given task since the previous EPAS edition. If no such changes are made or for tasks newly added for this edition, 'n/a' is indicated.



2.3 EPAS action template for RES actions

RES.XXXX	Title					
RES.AAAA	Title					
Main driver	(1) text					
icon						
Status	(2) text					
SIs /SRs	(3) text					
Reference(s)	(4) text					
Dependencies	(5) text					
Affected stakeholders		(6) text				
Owner		(7) acronym	Full name of the act	tion owner		
PLANNING MILESTONES						
Starting date		Inter	im Report	Final Report		
(8) year/quarter		(8) ye	ear/quarter	(8) year/quarter		
	CHANGES SINCE LAST EDITION					
(9) text						

For each RES action, a unique reference and title are provided. Tasks newly added in each edition, are identified by using red colour in the action number and by adding 'new' in the status field (2) of the respective EPAS edition.

For RES, the following information is provided:

The 'Main driver': safety, efficiency/proportionality, level playing field, environment is reflected as an icon.

- (1) 'Action description': issue, objective and rationale.
- (2) 'Status': new, ongoing, completed, not started, on-hold, merged, etc.
- (3) 'SIs/SRs': the safety issue or issues that this action aims to address, in accordance with the related safety risk portfolio and/or safety recommendations that are relevant to the action.
- (4) 'Reference(s)': related actions in other plans (e.g. ATM Master Plan, GASP) or other important reference documents.
- (5) 'Dependencies': other EPAS actions that enable or affect the implementation of this EPAS action. Note that such dependencies are not necessarily bi-directional.

Note: This information will be successively completed through future updates, hence in a number of cases this is left blank.

- (6) 'Affected stakeholders'.
- (7) 'Owner of the action': e.g. EASA department; EC (H2020); SESAR.
- (8) 'Planning milestones: The planned date for the start of the research project, the interim report and final report is provided in year and quarter format: yyyy Qn (n = 1-2-3-4);
- (9) 'Changes since last edition': Any changes in the scope of the given task since the previous EPAS edition. If not applicable or for tasks newly added for this edition, 'n/a' is indicated.



2.4 EPAS action template for EVT actions

EVT.XXXX	Title			
Main driver icon	(1) text			
Status	(2) text			
SIs /SRs	(3) text			
Reference(s)	(4) text			
Dependencies	(5) text			
Affected stakeho	olders	(6) text		
Owner		(7) acronym	Full name of the action owner	
			EXPECTED OUTPUT	
Deliverable(s)				Timeline
(8) text				(9) date/reference or year/quarter
		CH	IANGES SINCE LAST EDITION	
(10) text				•

For each EVT action, a unique reference and title are provided. Tasks newly added in each edition, are identified by using red colour in the action number and by adding 'new' in the status field (2) of the respective EPAS edition.

For EVTs, the following information is provided:

The 'Main driver': safety, efficiency/proportionality, level playing field, environment is reflected as an icon.

- (1) 'Action description': issue, objective and rationale.
- (2) 'Status': new, ongoing, completed, on-hold, de-prioritised, merged, etc.
- (3) 'SIs/SRs': the safety issue or issues that this action aims to address, in accordance with the related safety risk portfolio and/or safety recommendations that are relevant to the action.
- (4) 'Reference(s)': related actions in other plans (e.g. ATM Master Plan, GASP) or other important reference documents.
- (5) 'Dependencies': other EPAS actions that enable or affect the implementation of this EPAS action. Note that such dependencies are not necessarily bi-directional.
- (6) 'Affected stakeholders'.
- (7) 'Owner of the action': e.g. EASA department; Safety Promotion Network (SPN), Member States.
- (8) 'Deliverable(s)': type of deliverable (report, best practice, guidance material, study, etc.).
- (9) 'Timeline': planning milestone for the deliverable:
- Where the deliverable is already published/available, the date is provided in date format: dd/mm/yyyy.
- For deliverables yet to be published/made available, the planned date for completion is provided in year and quarter format: yyyy Qn (n = 1-2-3-4).



Supporting information: EPAS action types and templates

 In case an action has several subtasks, a separate line will provide deliverables and planning milestones for each subtask (1-n).

In addition to the above information, the planning milestone/delivery date for the EVT report is provided.

(10) 'Changes since last edition': Any changes in the scope of the given task since the previous EPAS edition. If no such changes are made or for tasks newly added for this edition, 'n/a' is indicated.



2.5 EPAS action template for MST actions

MST.XXXX	Title			
Main driver icon	(1) text			
Status	(2) text			
SIs /SRs	(3) text			
Reference(s)	(4) text			
Dependencies	(5) text			
Affected stakeho	olders	(6) text		
Owner		(7) acronym	Full name of the action owner	
			EXPECTED OUTPUT	
Deliverable(s)				Timeline
(8) text				(9) date/reference or year/quarter
		CH	IANGES SINCE LAST EDITION	
(10) text				

For each MST action, a unique reference and title are provided. Tasks newly added in each edition, are identified by using red colour in the action number and by adding 'new' in the status field (2) of the respective EPAS edition.

For MSTs, the following information is provided:

The 'Main driver': safety, efficiency/proportionality, level playing field, environment is reflected as an icon.

- (1) 'Action description': issue, objective and rationale.
- (2) 'Status': new, ongoing, completed, on-hold, de-prioritised, merged, etc.
- (3) 'SIs/SRs': the safety issue or issues that this action aims to address, in accordance with the related safety risk portfolio and/or safety recommendations that are relevant to the action.
- (4) 'Reference(s)': related actions in other plans (e.g. ATM Master Plan, GASP) or other important reference documents.
- (5) 'Dependencies': other EPAS actions that enable or affect the implementation of this EPAS action. Note that such dependencies are not necessarily bi-directional.
- (6) 'Affected stakeholders'.
- (7) 'Owner of the action': e.g. EASA department; Safety Promotion Network (SPN), Member States.
- (8) 'Deliverable(s)': type of deliverable (report, best practice, guidance material, study, etc.).
- (9) 'Timeline': planning milestone for the deliverable:
- Where the deliverable is already published/available, the date is provided in date format: dd/mm/yyyy.
- For deliverables yet to be published/made available, the planned date for completion is provided in year and quarter format: yyyy Qn (n = 1-2-3-4).



Supporting information: EPAS action types and templates

 In case an action has several subtasks, a separate line will provide deliverables and planning milestones for each subtask (1-n).

(10) 'Changes since last edition': Any changes in the scope of the given task since the previous EPAS edition. If no such changes are made or for tasks newly added for this edition, 'n/a' is indicated.