

Appendix 1 to AMC 11 SKPI - Just Culture Questionnaire – State level

ID	Area	Question	Click Button	Click Button	Justification and remarks for selected answer
Policy and its implementation					
ST.P.1	Policy elements related questions	Is there an explicit Just Culture policy, which is endorsed at appropriate State level and made public?	<input type="checkbox"/> Yes	<input type="checkbox"/> No	
ST.P.2	Policy elements related questions	Does it contain a description of what is considered to be unacceptable behaviour?	<input type="checkbox"/> Yes	<input type="checkbox"/> No	
ST.P.3	Policy elements related questions	Does it refer to legal provisions which guarantee no punishment for self-reported occurrences (except for the cases defined above in question ST.P.2)?	<input type="checkbox"/> Yes	<input type="checkbox"/> No	
ST.P.4	Policy elements related questions	Does it provide for legal support (e.g. counselling, court expertise etc.) for its own staff in case of prosecution / legal action related to a reported safety event?	<input type="checkbox"/> Yes	<input type="checkbox"/> No	
ST.P.5	Policy elements related questions	Does the State require a Just Culture policy in Air Navigation Service Providers?	<input type="checkbox"/> Yes	<input type="checkbox"/> No	
ST.P.6	Roles and Responsibilities clearly defined and implemented	Is the role of different State authorities and Air Navigation Service Providers in handling safety reports and the flow of information clearly defined in the State?	<input type="checkbox"/> Yes	<input type="checkbox"/> No	
ST.P.7	Responsibilities clearly defined and implemented	Is the safety investigation and/or analysis process within the State entirely independent from any judicial authority?	<input type="checkbox"/> Yes	<input type="checkbox"/> No	
ST.P.8	Responsibilities clearly defined and implemented	Does the State actively strive to implement Just Culture provisions in its legislative framework?	<input type="checkbox"/> Yes	<input type="checkbox"/> No	
ST.P.9	Training	Is there a regulatory requirement to include elements and/or courses on Just Culture in the training programmes for staff working in the competent authority and service providers (ab initio and recurrent training)?	<input type="checkbox"/> Yes	<input type="checkbox"/> No	
ST.P.10	Training	Are qualifications and training requirements as regards Just Culture for State safety investigators clearly defined?	<input type="checkbox"/> Yes	<input type="checkbox"/> No	
Legal/Judiciary					

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ST.L.1	Primary legislation	In case there is a Freedom of Information legislation, does it provide for exemptions applicable to safety information?	<input type="checkbox"/> Yes	<input type="checkbox"/> No	
ST.L.2	Primary legislation	If an incident falls under Just Culture policy, are general provisions referring to potential threatening the safety of the public applicable by judicial authorities under penal law?	<input type="checkbox"/> Yes	<input type="checkbox"/> No	
ST.L.3	Primary legislation	Are there provisions in the law affording protection from prosecution to individuals involved in safety events, under the principles of Just Culture?	<input type="checkbox"/> Yes	<input type="checkbox"/> No	
ST.L.4	Judicial procedures and specific aviation legislation	Is there an entity within the State, supported by Subject Matter Experts, with clearly defined rules, which decides whether relevant safety events are a matter for prosecution?	<input type="checkbox"/> Yes	<input type="checkbox"/> No	
ST.L.5	Judicial procedures and specific aviation legislation	Is there a judicial procedure to ensure that in the case of prosecution linked to an aviation accident/incident Subject Matter Experts will be involved?	<input type="checkbox"/> Yes	<input type="checkbox"/> No	
ST.L.6	Judicial procedures and specific aviation legislation	Are the provisions of Directive 2003/42/EC on occurrence reporting in civil aviation and in particular the provisions contained in its Article 8 (Protection of information) fully and effectively implemented in the national legislation?	<input type="checkbox"/> Yes	<input type="checkbox"/> No	
ST.L.7	Formal agreement	Is there an advance agreement to guarantee appropriate use of safety information?	<input type="checkbox"/> Yes	<input type="checkbox"/> No	
ST.L.8	Formal agreement	Is there an agreed process to deal with aviation incident matters between the aviation and judicial/police authorities?	<input type="checkbox"/> Yes	<input type="checkbox"/> No	
Occurrence reporting and investigation					
ST.O.1	Occurrence reporting and investigation	Does the State provide regular statistical feedback to the public based on safety reports received (e.g. annual reports)?	<input type="checkbox"/> Yes	<input type="checkbox"/> No	
ST.O.2	Occurrence reporting and investigation	Are Subject Matter Experts involved in making the decision in cases where personnel licences/ratings could be affected?	<input type="checkbox"/> Yes	<input type="checkbox"/> No	