



EASA
European Aviation Safety Agency

Extension of Standardisation to Regulation (EU) 376/2014

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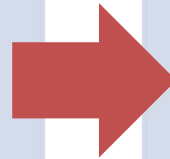
Your safety is our mission.

An agency of the European Union 



Four freedoms of movement:

- goods
- services
- people
- capital



BR, art. 11(1)

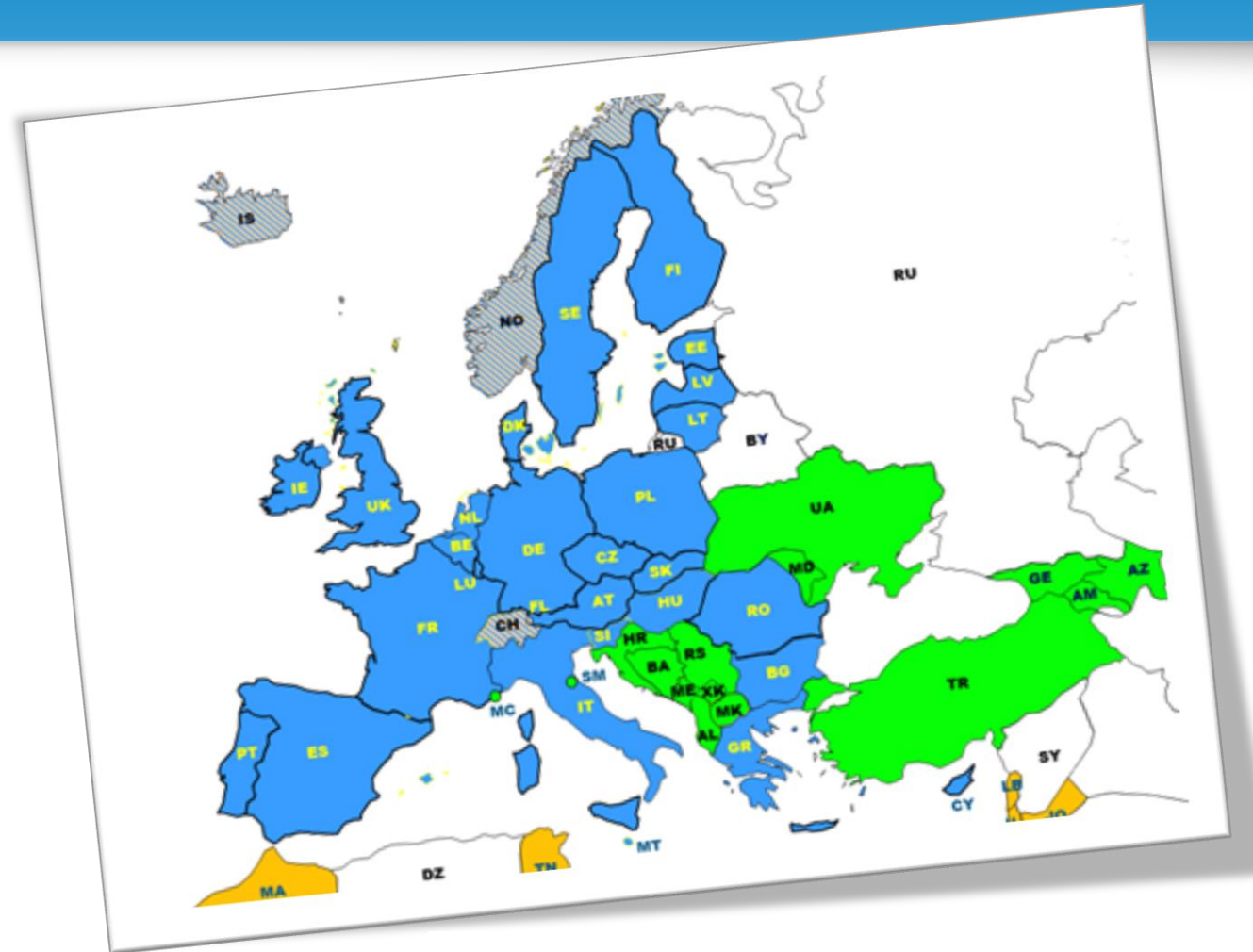
- **Member States shall, without further technical requirements or evaluation, recognise certificates issued in accordance with this Regulation.** When the original recognition is for a particular purpose or purposes, any subsequent recognition shall cover only the same purpose or purposes.



Why Standardisation?

Basic Regulation, art. 24(1)

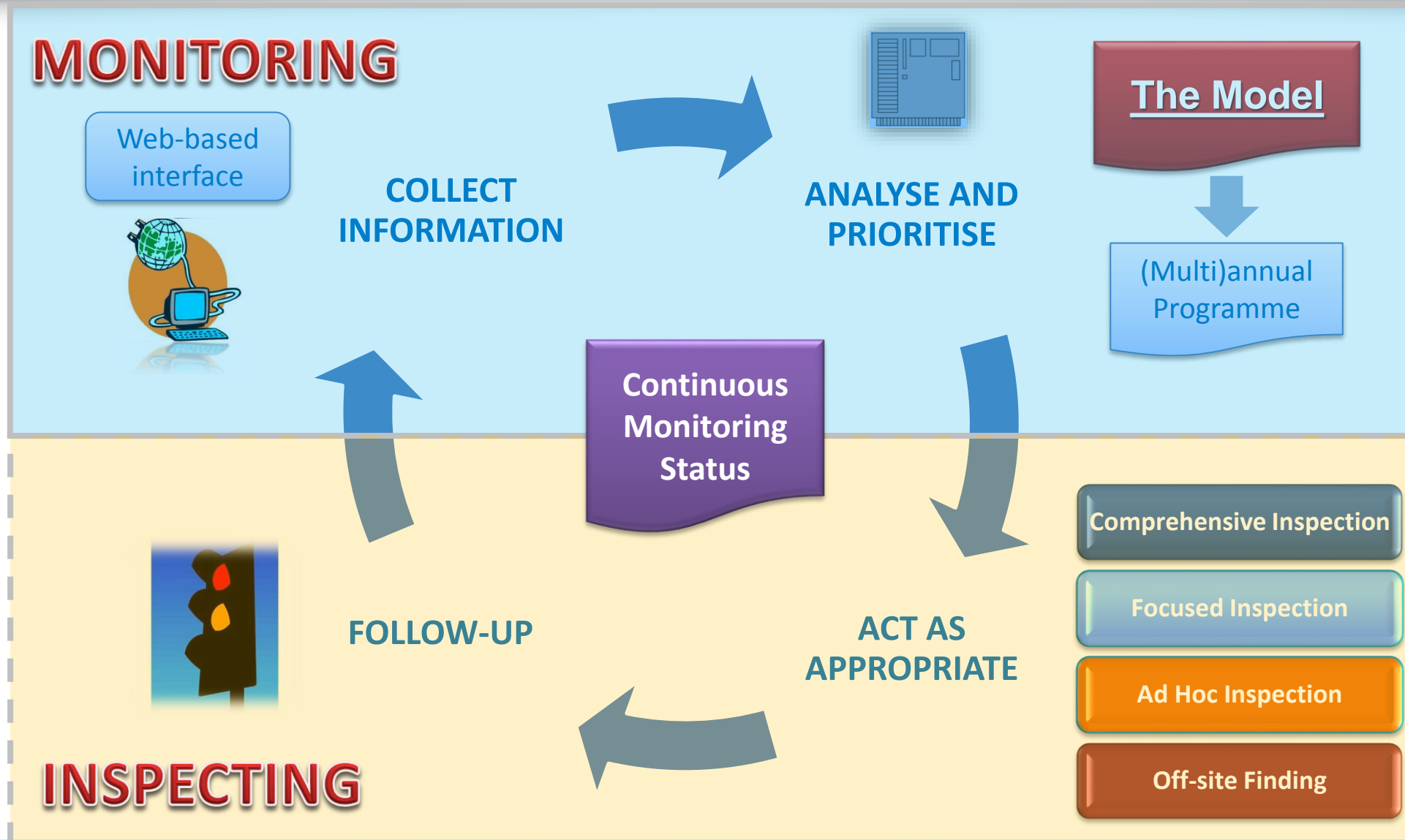
The Agency shall conduct standardisation inspections in the fields covered by Article 1(1), **in order to monitor the application by national competent authorities** of this Regulation and of its implementing rules, and shall report to the Commission.

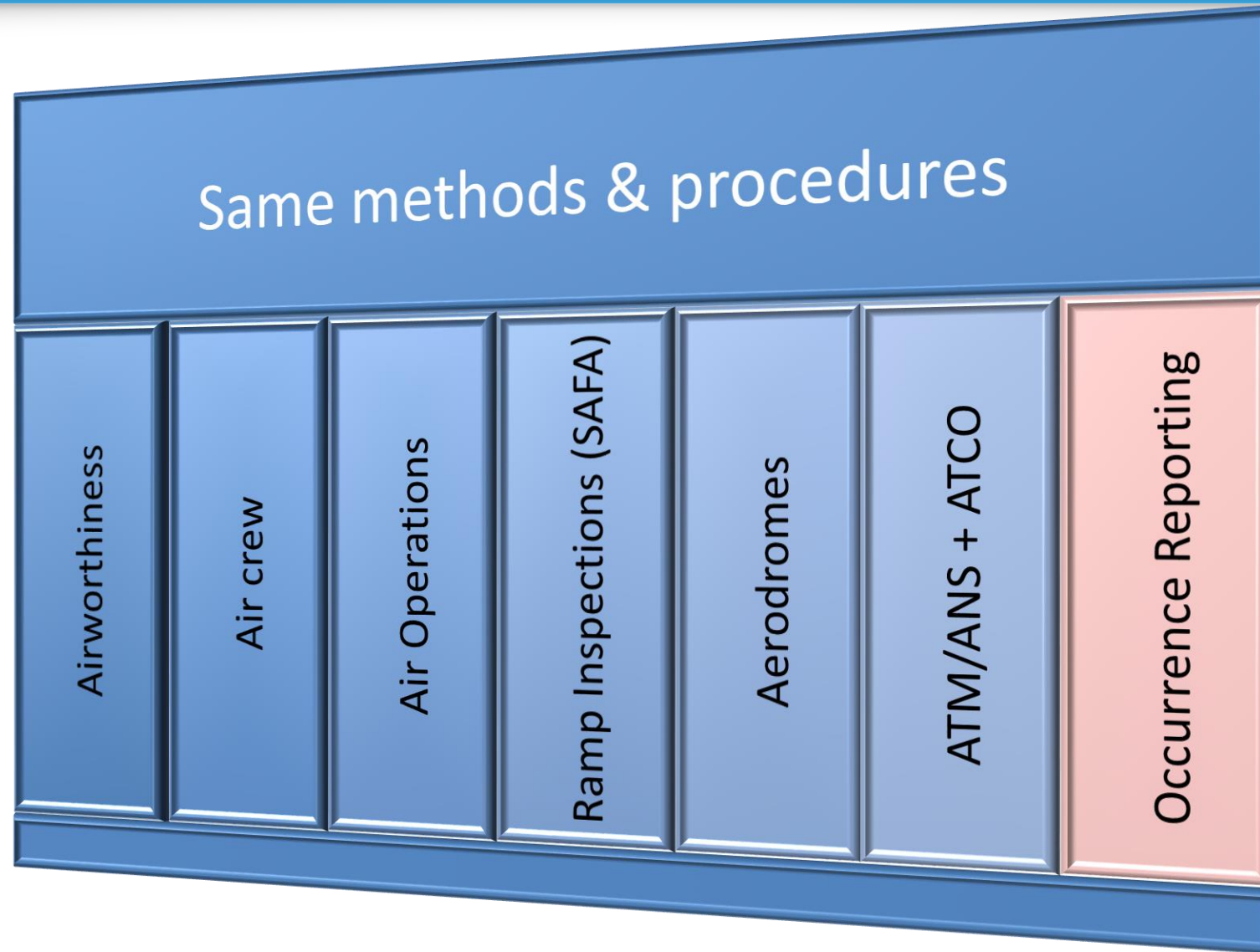


EASA 32 +
WA 14 =
total 46 countries (SAFA 44)



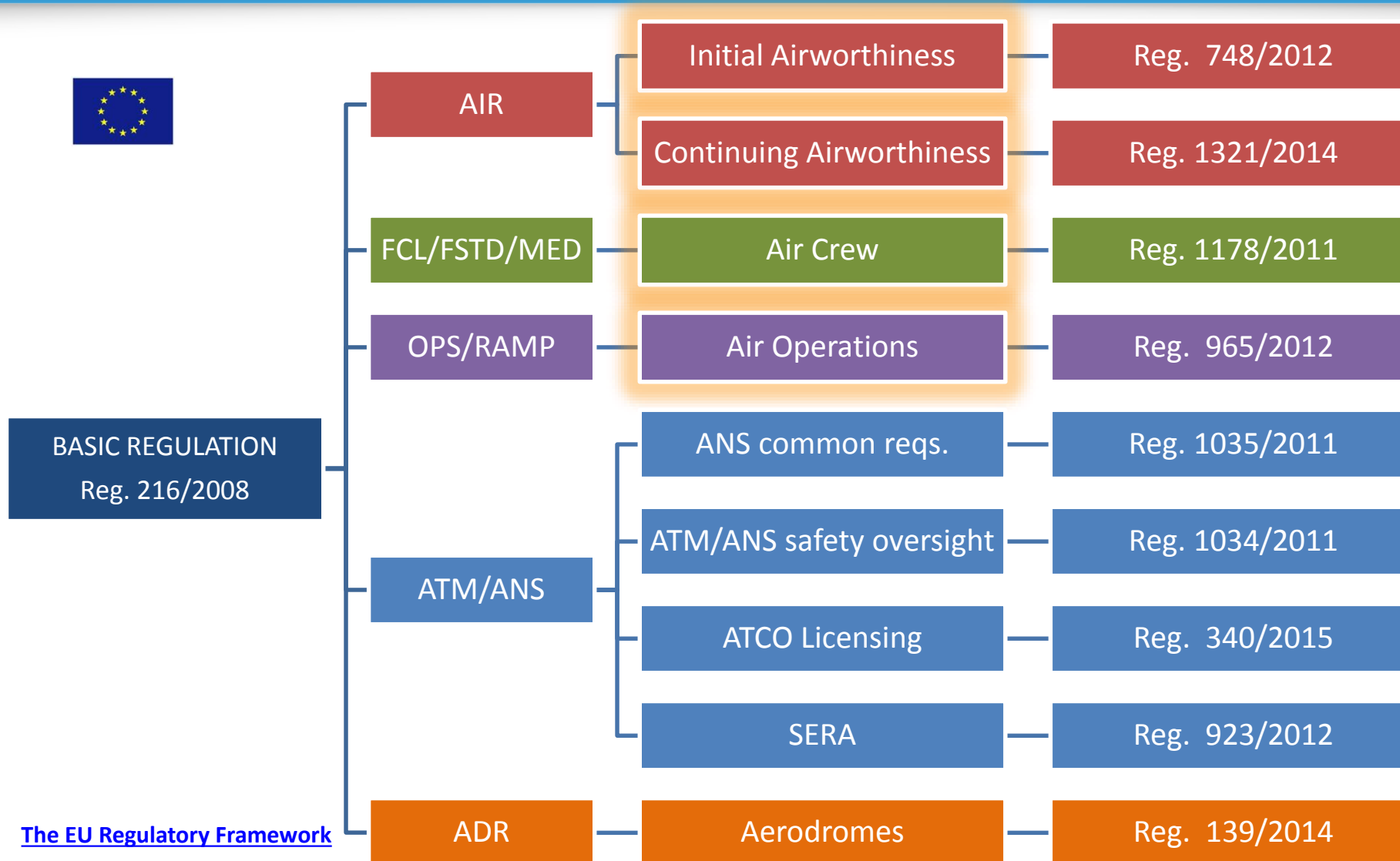
Continuous Monitoring Approach







What we standardise today





Standardisation of Reg. 376/2014 on Occurrence Reporting

HOW WILL WE ORGANISE IT



Request/instructions from the Commission

Objective

- Begin standardisation activities as of 1st of January 2017, the first year being used for gathering information to plan the inspections and for training of the inspectors.

Preparation - The Commission recognises the need for the States to prepare internally for these inspections...

- The Agency and the States will need to devote part of 2017 to prepare the States by several means such as dedicated workshops and information initiatives led by the Agency.
- The Agency will have to ensure that inspection teams should be set up with adequately trained and qualified personnel, as required by Regulation (EU) No 628/2013.

Inspections

- The first cycle of the standardisation inspections would then take place ideally between 2018 and 2020, with January 2018 as the starting point for the official inspections.
- Other elements of the Regulation may indeed be checked during a second cycle of inspections, probably after 2020.

Set-up

- In order not to generate an undue burden on the national competent authorities, the Commission strongly advises to avoid, as practicable as possible, conducting separate inspections on the occurrence reporting legislation but rather combining them with already planned inspections in other domains.



Requirements to be initially checked

Article 4
Mandatory reporting

Article 5
Voluntary reporting

Article 6
Collection and storage of
information

Article 7
Quality and content of
occurrence reports

Article 8(4)
European Central
Repository

Article 9
Exchange of information

Article 12
Record of requests and
exchange of information

Article 13
Occurrence analysis and
follow-up at national level

Article 15
Confidentiality and
appropriate use of
information

Article 16
Protection of the
information sources

Article 21
Penalties



Remote Monitoring via the ECR

- In addition to standardisation visits, to check continuous compliance:
 - Article 4, Annex I, IR 2015-1018
 - Article 5 – voluntary reporting (are there any voluntary reports?)
 - Article 7 – presence/ absence of risk classification
 - Article 9 – 30 day deadline + update of records, accident and serious incident records – preliminary, investigation completed, final report published, English language final report.
 - Article 16 – sampling to check that personal details are not being recorded.



- Where we monitor remotely, you will get the same information
- Built on the existing JRC monitoring to avoid duplication
- Provide feedback on:
 - Compliance
 - Performance



➤ Art 7. The Quality and Content of Occurrence Reports

➤ *Occurrence reports... shall contain at least the information listed in Annex I*

➤ Safety risk classification

➤ ERCS for member states and IORS

➤ ECCAIRS compatible database

➤ Split monitoring between ECR and Standardisation visit.

24.4.2014

EN

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ANNEX I

LIST OF REQUIREMENTS APPLICABLE TO THE MANDATORY AND VOLUNTARY OCCURRENCE REPORTING SCHEMES

Note: The data fields must be completed with the information requested. If it is not possible for the competent authorities of the Member States or the Agency to include that information because it has not been provided by the organisation or the reporter, the data field may be completed with the value 'unknown'. However, with a view to ensuring that the appropriate information is transmitted, use of that 'unknown' value should, to the best extent possible, be avoided, and the report should, where possible, be completed with the information later.

1. COMMON MANDATORY DATA FIELDS

When entering, in their respective databases, information on every occurrence mandatorily reported and, to the best extent possible, every occurrence voluntarily reported, organisations, Member States and the Agency must ensure that occurrence reports recorded in their databases contain at least the following information:

- (1) Headline
 - Headline
- (2) Filing Information
 - Responsible Entity
 - File Number
 - Occurrence Status



Article 7 and Annex I in Detail – Commission and Agency

- The Commission and the Agency shall provide support to CAs in their task of data integration:
 - Integration of minimum information
 - Risk classification of occurrences
 - Establishing data quality checking processes
- Harmonisation of data entry process:
 - Guidance material
 - Workshops
 - Appropriate training



➤ *Organisations, Member States and the Agency shall establish data quality checking processes to improve data consistency, notably between the information collected initially and the report stored in the database.*

=> NoA group to update data quality checking processes



Our plan for 2017





EASA
European Aviation Safety Agency

Thank you

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