



WORKING ARRANGEMENT

BETWEEN

THE CIVIL AVIATION AUTHORITY OF VIETNAM (CAAV)

AND

THE EUROPEAN AVIATION SAFETY AGENCY (EASA)

ON VALIDATION BY CAAV OF CERTIFICATES ISSUED BY EASA ON AGUSTAWESTLAND ROTORCRAFT

The European Aviation Safety Agency (EASA) and Civil Aviation Authority of Vietnam (CAAV) hereafter referred to as the "Authorities".

Considering the common interest of EASA and CAAV to preserve aviation safety and environmental compatibility,

Willing to reduce the economic burden imposed on the aviation industry by redundant technical inspection, evaluation and testing,

Being entitled by their respective constituting acts to conclude Working Arrangements¹ in their field of competence,

Have agreed the present Working Arrangement:

1. PURPOSE AND SCOPE.

- 1.1 This Working Arrangement defines the working relationship between EASA and CAAV to facilitate and accomplish the CAAV validation of certificates issued by EASA on AgustaWestland (applicant) rotorcraft models and parts and appliances related to these rotorcraft, for which EASA carries out on behalf of Member States² the functions and tasks of the State of Design.
- 1.2 This Working Arrangement applies to the validation by the CAAV of EASA certificates on AgustaWestland Rotorcraft models (TC holder AugustaWestland S.p.A, Piazza Monte Grappa, 4 00195 Roma Italy).

2. OBJECTIVES

This Working Arrangement intends to accomplish the following objectives:

- 2.1 To define the working procedures under the respective responsibilities of each authority:
 - a) for the type certificate validation process;
 - b) for subsequent post-type certificate validation activities;
 - c) to facilitate the validation of EASA Supplemental Type Certificates (STC)³.
 - d) for the acceptance of parts and appliances related to this rotorcraft, designed by AgustaWestland or covered by a validated STC.
 - e) to define export airworthiness documentation for individual products and parts and appliances to be delivered to Vietnam.

¹ For EASA: Article 27(2) to Regulation (EC) No 216/2008 of the European Parliament and of the Council of 20 February 2008 on common rules in the field of civil aviation and establishing a European Aviation Safety Agency. OJ L 79, 19.3.2008, p. 1.

For CAAV: the requirements for this Working Arrangement result from CAAV Validation Procedure document for certification in Vietnam of aircraft (FSSD Issue 4 dated 1 July 2012).

² For the purposes of this Working Arrangement, Member States means the Member States of the European Union and the third countries that participate in the activities of EASA under Article 66 of Regulation (EC) No 216/2008. As of 1 January 2007 this includes Iceland, Liechtenstein, Norway and Switzerland.

The process for validation of STC is operated reference to the process of Type Certificate Validation.

2.2 To co-operate on ensuring the continued airworthiness of AgustaWestland rotorcraft models.

3. COMMUNICATION

- 3.1 The Flight Safety and Standard Department of CAAV (CAAV-FSSD) and EASA, being the rotorcraft certification authority, will be responsible for the implementation of this Working Arrangement.
- 3.2 A focal point will be assigned by each Authority to facilitate the implementation of this Working Arrangement. All routine communication will take place between these focal points (see Appendix). The list of focal points will be amended as agreed by the authorities, by exchange of letters.
- 3.3 All communication between the Authorities related to the activities of this Working Arrangement will be made in English language.
- 3.4 Unless otherwise specified, EASA shall be copied with all correspondence between the applicant and CAAV related to the activities of the considered project conducted under the provisions of this Working Arrangement in order for EASA to support the applicant and the CAAV where necessary pursuant to this Working Arrangement.

4. TYPE-CERTIFICATE VALIDATION PROCESS

4.1 Application

EASA will forward applications for validation of the AgustaWestland rotorcraft and related information to CAAV.

4.2 Type Certificate Validation

- 4.2.1 EASA will assist the CAAV in getting familiarized with the design of the rotorcraft, including environmental protection, with the assistance of the EASA TC holder (applicant) AgustaWestland and explain in particular the reasons for possible EASA special conditions and equivalent safety findings, as well as the process followed for their adoption.
- 4.2.2 CAAV will establish a certification basis for the product as including the EASA type certification basis plus any additional technical conditions imposed by the CAAV⁴ in order to comply with CAAV requirements. The CAAV will define these additional technical conditions on a case-by-case basis, giving consideration to the date of the application made by the applicant to EASA for initial certification of the product. CAAV will notify in writing both EASA and the applicant of any additional technical conditions necessary for the CAAV type validation.
- 4.2.3 The CAAV will accept the findings made during the type certification process by EASA, unless notified normally as subjects to be retained against additional technical conditions defined under 4.2.2.

⁴ The additional technical condition may result from the evaluation of any equivalent safety finding included in the EASA type certification basis.

- 4.2.4. The CAAV will assist EASA in understanding and applying its additional technical conditions. Subject to availability of resources and the required technical expertise, EASA will assist CAAV, upon request, in evaluating compliance with its additional technical conditions. It may in this context, at the request of CAAV, evaluate whether the data submitted by the EASA TC holder demonstrates compliance with the CAAV additional technical conditions.
- 4.2.5 The CAAV will make the compliance determination with its requirements and will be responsible for the issuance of Validation Type Certificate on the basis of that determination.

5. POST TYPE CERTIFICATE VALIDATION ACTIVITIES

- 5.1 For design changes and repairs affecting the additional technical conditions of the CAAV validation certification basis (such as new application requirements, equivalent level of safety and deviations as defined in 4.2.2) or requiring validated TCDS amendment, application needs to be made to the CAAV. CAAV will determine acceptance of that data under the CAAV authorized system.
- 5.2 The CAAV will accept without further action any other design changes and repairs under the validated TC or STC, designed by the validated TC or STC holder, and approved by EASA or by an EASA approved design organization, on a AgustaWestland rotorcraft type for which CAAV has issued a validated TC or STC, in accordance with the provisions of this Working Arrangement.

6. AIRWORTHINESS SUPPORT ACTIVITIES

6.1 Individual product deliveries

- a) When required, each rotorcraft will be delivered to Vietnam with an export certificate of airworthiness based on the individual EASA Form 52 issued in accordance with a Production Organisation Approval (POA) granted under Commission Regulation (EU) No 748/2012⁵ stating that the rotorcraft is in conformity with the CAAV approved type design, and is in condition for safe operation.
- b) The Rotorcraft Flight Manual (AFM) as well as maintenance manuals including Airworthiness Limitations (ALS) in the English language will be approved under the EASA system, on behalf of CAAV, upon confirmation by CAAV of their agreement of the relevant drafts.
- c) Each part and appliance related to the rotorcraft will be delivered to Vietnam with an individual EASA Form 1, issued in accordance with a POA granted under Commission Regulation (EU) No 748/2012.

⁵ Commission Regulation (EU) No 748/2012 of 3 August 2012 laying down implementing rules for the airworthiness and environmental certification of rotorcraft and related products, parts and appliances, as well as for the certification of design and production organisations (EASA Part 21), as last amended.

6.2 Continued Airworthiness

- a) In accordance with ICAO Annex 8, EASA will inform CAAV of all mandatory airworthiness modifications, special inspections, special operating limitations or other actions necessary for maintaining the airworthiness of the AgustaWestland Rotorcraft.
- b) CAAV will promptly notify EASA and the applicant of any unsafe condition associated with the design or manufacturing of the AgustaWestland Rotorcraft that are in service in Vietnam. On the basis of the information provided by CAAV, EASA in its capacity of the airworthiness authority of the State of Design will analyse, in coordination with the EASA TC holder, the unsafe condition and will notify CAAV, where appropriate, of any corrective action it deems necessary for maintaining the airworthiness of the AgustaWestland Rotorcraft.

7. ENTRY INTO FORCE, INTERPRETATION, AMENDMENT, DURATION AND TERMINATION

7.1 Entry into force

This Working Arrangement shall enter into force at the date of signature by Authorities' duly authorized representatives. When the signature process is performed by exchange of letters, the Working Arrangement shall enter into force at the date of the last signature of the Authorities' duly authorized representatives.

7.2 Interpretation and amendment

Any disagreement regarding the interpretation or application of this Working Arrangement will be resolved by consultation between the Authorities.

This Working Arrangement may be amended by mutual consent. Such amendments shall be in writing and shall enter into force at the date of the last signature of Authorities' duly authorized representatives or its designees, unless otherwise specified.

7.3 Duration and Termination

This working Arrangement will remain in force until terminated by either Authority upon prior notice.

Either Authority may at any time give written notice to the other Authority of its decision to terminate this Working Arrangement. This Working Arrangement shall terminate three months following the receipt of the notice by the other Authority, unless the said notice has been withdrawn by mutual agreement before the expiry of the three months period.

The Authorities agree to the provisions of this Working Arrangement as indicated by their duly authorized representative $\mu_{\rm FM}$

For EASA

For CAAV

Patrick Kv

Executive Director

Date: 18

18. JUNI 2015

Lai Xuan Thanh

General Director

Date:

Appendix (Issue 1) Focal Points

FOR EASA	FOR CAAV
Certification Directorate	Flight Safety and Standard Department
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D-50452 - Cologne	Ha Noi
Germany	Viet Nam
Mr. Juri Pauletti	Mr. Ho Minh Tan
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