2024 SMS Workshop

We restart at 11:30

Status update on SMS implementation

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Robert Boersma - Senior DOA Team Leader, SMS Network Representative

Slido Event Code #: SMSEASA
Passcode: SMS2024
SMS current maturity level

→ **Maturity:**
  → **Present** - are the basic SMS elements put in place?
  → **Suitable** – are the basic SMS elements put in place and are they tailored to the organisation?
  → **Operating** – are the necessary SMS elements in place and do they produce results?
  → **Effective** – is the SMS system maturing?

→ **Compliant for 7 March 2025 means:**
  → **P** = High
  → **S** = High
  → **O** = Medium or High

As a result SC = closed, Finding(s) = closed

**HIGH:** All SMS elements are at this maturity level

**MEDIUM:** P/S - More than half of the SMS elements are at this maturity level

**O** - The minimum operational elements as identified in the "Maturity Expectancy" are fulfilled

**LOW:** No or few SMS elements are at this maturity level

Total: 337 DOAs in oversight
SMS implementation status

→ Currently there are 218 Level 2 findings (more than 1/2 of the total number of DOAs have a finding raised for the SMS)

→ The Significant Changes number is slightly lower (1/3 of the total number of DOAs have a SC)

Following the criteria for the DOAs having already the SMS implemented, currently only **14 DOAs are compliant** (4.1% of the total) with the amended Part-21 requirement
2024 SMS Workshop

EASA SMS Policy guidance

Carmela Bosso, Section Manager - Design Organisations & Policy Issues
Robert Boersma - Senior DOA Team Leader, SMS Network Representative

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Content

→ SMS assessment policy
→ Supporting material
→ Tailored assessments / proportionality
→ Negative decisions
SMS assessment policy

→ Internal DOA policy:
  → Provide guidance to DOATL on how to perform SMS assessments
  → Provide a good level of standardisation
  → Coordination with xOA, in particular POA
  → Evolving document – Learning good practices
  → Describing specific points, e.g.:
     → SM role compatibility with other DOA functions
     → Maturity stages
     → Maturity expectancy for approving significant change; closing findings
  → Proportionate SMS implementation → Proportionate assessments
Supporting material

→ DOA SMS Maturity expectancy:

→ EASA website

→ EASA MSAT

→ ASD SMS standard (ref. AMC1 21.A.x39(c))
Tailored assessments / proportionality

→ The SMS implemented by a DOA should be proportionate to their size, scope and activity; likewise the assessment should be proportionate

→ No 100 % investigation expected for most DOAs

→ Guidance on minimum assessment by clusters:

**CLUSTER 1**
- G0
- G1-G4 (NO APPLICATIONS)

**CLUSTER 2**
- G1-G4 (APPLICATIONS)

**CLUSTER 3**
- G5-G7

**CLUSTER 4**
- G8 + G9
Negative decisions

→ Commission Delegated Regulation (EU) 2022/201:
  ... Where after 7 March 2025, the organisation has not closed such findings, the approval certificate **shall** be **revoked, limited** or **suspended** in whole or in part.

→ We should make **every effort** to prevent the need!

→ “Pre-warning” letter Q3/2024 (aligned with EASA POA)

→ Several scenario’s currently under review

→ Alignment with EASA xOAs
Thank you!

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