Annex I to ED Decision 2024/001/R

‘AMC & GM to the Articles of
Commission Delegated Regulation (EU) 2023/1768—Issue 1, Amendment 1’

The text of the amendment is arranged to show deleted, new and unchanged text as follows:

— deleted text is struck through;
— new or amended text is highlighted in blue;
— an ellipsis ‘[…]’ indicates that the rest of the text is unchanged.

Note to the reader

In amended, and in particular in existing (that is, unchanged) text, ‘Agency’ is used interchangeably with ‘EASA’. The interchangeable use of these two terms is more apparent in the consolidated versions. Therefore, please note that both terms refer to the ‘European Union Aviation Safety Agency (EASA)’. 
The Annex to Decision 2023/016/R is amended as follows:

**GM1 Article 4 Certification of ATM/ANS equipment**

**GENERAL INTERACTIONS AMONG INVOLVED PARTIES**

For ATM/ANS equipment subject to certification in accordance with Article 4 of this Regulation, the approved DPO, following the acceptance of the certification programme by EASA, demonstrates compliance with the ATM/ANS equipment certification basis before a certificate against the design is issued. The DPO produces ATM/ANS equipment against the design data and issues an EASA release form to be provided to the ATM/ANS provider. Figure 1 shows the interactions between the regulated parties when the ATM/ANS equipment is subject to certification.
Article 4

Certification of ATM/ANS equipment

- Establishment of detailed specifications (DSs) \([\text{ATM/ANS.EQMT.AR.A.035}]\)
- Identification of technical requirements (i.e., DSs, special conditions and deviations) \([\text{DPO.OR.B.001(0)(9)}]\)
- Establishment of certification basis including the DSs, deviations, and special conditions \([\text{ATM/ANS.EQMT.AR.B.001}]\)
- Update certification programme with the agreed certification basis \([\text{ATM/ANS.EQMT.CERT.015 (c)}]\)
- Issue of certificate \([\text{ATM/ANS.EQMT.AR.B.015}]\)
- Registration and record-keeping of certificate \([\text{DPO.AR.B.015}]\)
- Production of ATM/ANS equipment \([\text{DPO.OR.C.001(c)}], \text{ Issue of an EASA release form to ATM/ANS provider } [\text{DPO.OR.C.001(d) and (e)}]\)
- Demonstration of compliance with the certification basis \([\text{ATM/ANS.EQMT.CERT.025} \& \text{ Issue a declaration of compliance with the certification basis } [\text{ATM/ANS.EQMT.CERT.025 (e)}]\)
- Management of changes to the functional system \([\text{ATM/ANS.OR.A.045} \& \text{ [ATM/ANS.AR.C.025 (a)]}\)

\[\text{Figure 1}\]
GM1 Article 5 Declaration of design compliance of the ATM/ANS equipment

GENERAL INTERACTIONS AMONG INVOLVED PARTIES

For ATM/ANS equipment subject to declaration of design compliance in accordance with Article 5 of this Regulation, the DPO is privileged to design and produce ATM/ANS equipment in accordance with the terms of the EASA approval. The test and verification activities leading to the issue of declaration of design compliance will be subject to continuous oversight by EASA. Figure 2 shows the interactions between the regulated parties when the ATM/ANS equipment is subject to declaration of design compliance.
Article 5

Declaration of design compliance of ATM/ANS equipment

Establishment of DSs
[ATM/ANS.EQMT.AR.A.035]

Identification of technical requirements
(I.e. DSs, special conditions and deviations)
[DPO.OR.B.001(a)(9)]

Initial oversight investigation of
declaration of design compliance &
acknowledgement of the receipt of
the declaration
[ATM/ANS.EQMT.AR.B.020]

Registration of declaration of
declaration of design compliance
[ATM/ANS.EQMT.AR.B.025]
and record-keeping
[DPO.AR.B.015]

Production of ATM/ANS equipment
[DPO.OR.C.001(c)]
&
Issue of an EASA release form to ATM/ANS provider
[DPO.OR.C.001(d) and (e)]

User needs
[ATM/ANS.OR.B.005]

Product design activities
[DPO.OR.B.001 &
DPO.OR.C.001 (b)]
Issue of declaration of design compliance
[ATM/ANS.EQMT.DEC.010]

Management of changes to the
functional system
[ATM/ANS.OR.A.045] &
[ATM/ANS.AR.C.025 (a)]
GM1 Article 6 Statement of compliance

GENERAL INTERACTIONS AMONG INVOLVED PARTIES

(a) To issue a SoC for ATM/ANS equipment, the ATM/ANS provider must perform the necessary verification and test activities, as required. The competent authority oversees the SoC as part of the management of changes to the functional system or during the continuous oversight of the ATM/ANS provider and, as necessary, applies enforcement measures to the ATM/ANS provider. Figure 3 shows the interactions between the regulated parties when the ATM/ANS equipment is subject to a SoC and such SoC is issued by the ATM/ANS provider.
Article 6

Statement of Compliance of ATM/ANS GE | Scenario 1
(issued by the ATM/ANS provider)

Regulations (EU)2023/1768 & (EU)2023/1769

EASA  Supplier

Certified ATM/ANS providers  CA/NSA

User needs [ATM/ANS.OR.B.005]

Establishment of DSs [ATM/ANS.EQMT.AR.A.035]

Design & Production ATM/ANS equipment

Inspection and test ATM/ANS equipment against DSs [Article 6 (1) & ATM/ANS.OR.B.005 (8)]

Issue of an Statement of Compliance [Art. 6 (2) & ATM/ANS.OR.A.045(g)(3)]

Management of changes to functional system [ATM/ANS.OR.A.045] & ATM/ANS.AR.C.025 (a)]

Figure 3
(b) A DPO can obtain privileges in accordance with the terms of the EASA approval to issue a SoC on behalf of an ATM/ANS provider, upon its request. There is an expectation that DPOs apply all processes and procedures under its EASA’s approval terms for such ATM/ANS equipment in accordance with Implementing Regulation (EU) 2023/1769, although this is not explicitly required, and thus, an EASA release form may be issued. Such EASA release form will provide additional assurance to competent authorities that finished ATM/ANS equipment ready to be delivered has been manufactured in conformity with the design data. The competent authority oversees the SoC as part of the management of changes to the functional system or during the continuous oversight of the ATM/ANS provider. If, during the continuous oversight of the ATM/ANS provider, the competent authority identifies any non-compliance of the SoC, that competent authority will inform EASA about any enforcement measures required. Figure 4 shows the interactions between the regulated parties when the ATM/ANS equipment is subject to a SoC and such SoC is issued by the DPO.
Article 6
Statement of Compliance of ATM/ANS GE | Scenario 2
(by approved DPO)

Figure 4