

Draft Annex to draft Commission Implementing Regulation (EU) 202x/yy  
laying down requirements for the oversight of ground handling services and organisations  
providing them pursuant to Regulation (EU) 2018/1139 of the European Parliament and of the  
Council

**ANNEX**  
**AUTHORITY REQUIREMENTS GROUND HANDLING**  
**(PART-ARGH)**

**SUBPART GEN – GENERAL REQUIREMENTS**

**ARGH.GEN.005 Scope**

This Annex establishes requirements for the competent authorities responsible for receiving declarations from ground handling organisations in accordance with Commission Delegated Regulation xx [ground handling] and for the oversight of those organisations and the ground handling services that they provide.

**ARGH.GEN.100 Competent authority**

- (a) The competent authority responsible for the oversight of organisations providing ground handling services at an aerodrome within the scope of Regulation (EU) 2018/1139 and for receiving declarations from those organisations shall be the authority designated by the State where the aerodrome is located.
- (b) Without prejudice to point (a), the competent authority responsible for receiving the declaration from a single ground handling organisation business grouping or a self-handling aircraft operator that has its principal place of business in a Member State and provides ground handling services in more than one Member State shall be the authority designated by the Member State where the organisation has its principal place of business.

That declaration shall be considered to be submitted to all competent authorities concerned if all the following conditions are met:

- (1) it includes information on the ground handling services provided at all the aerodromes within the scope of Regulation (EU) 2018/1139 in all the Member States where the ground handling organisation provides services;
- (2) it reaches out to all the Member States concerned through the repository of information established in accordance with Article 74 of Regulation (EU) 2018/1139;
- (3) it is valid and recognised in all Member States without further requirements or evaluation, in accordance with Article 67(1) of Regulation (EU) 2018/1139.

**ARGH.GEN.115 Oversight documentation**

The competent authority shall provide the relevant legislative acts, standards, rules, technical publications and related documents, as well as guidance material to its relevant personnel in order to allow them to perform their tasks and to discharge their responsibilities.

**ARGH.GEN.120 Means of compliance**

- (a) The Agency shall develop acceptable means of compliance (AMC) that may be used to establish compliance with Regulation (EU) 2018/1139 and its delegated and implementing acts.
- (b) Alternative means of compliance (AltMoC) may be used to establish compliance with Regulation (EU) 2018/1139 and its delegated and implementing acts.
- (c) The competent authority shall establish a system to consistently evaluate that the AltMoC used by itself or by the ground handling organisations under its oversight comply with Regulation (EU) 2018/1139 and its delegated and implementing acts. That system shall include procedures to limit, revoke or amend AltMoC if the competent authority finds that these do not comply with Regulation (EU) 2018/1139 and its delegated and implementing acts.
- (d) The competent authority shall evaluate the AltMoC proposed by a ground handling organisation in accordance with point ORGH.GEN.120 of Commission Delegated Regulation xx [ground handling], by analysing the documentation provided and, if considered necessary, conducting an inspection of the organisation. When the competent authority finds that the AltMoC proposed by a ground handling organisation are in accordance with Regulation (EU) 2018/1139 and its delegated and implementing acts, it shall inform the Agency of their content, including copies of the relevant documentation.
- (e) If the competent authority itself uses AltMoC to achieve compliance with Regulation (EU) 2018/1139 and its delegated and implementing acts, it shall:
  - (1) make them available to the ground handling organisations under its oversight if those AltMoC are intended for use by ground handling organisations;
  - (2) notify the Agency without undue delay; and
  - (3) provide the Agency with:
    - (i) a full description of the AltMoC, including any revision to manuals or procedures that may be relevant; and
    - (ii) an assessment demonstrating that compliance with the Regulation (EU) 2018/1139 and its delegated and implementing acts is achieved.

**ARGH.GEN.125 Information to the Agency**

- (a) The competent authority shall notify the Agency without undue delay of any significant problems with the implementation of Regulation (EU) 2018/1139 and its delegated and implementing acts within 30 days from the time the competent authority became aware of such problems.
- (b) Without prejudice to Regulation (EU) No 376/2014 and its delegated and implementing acts, the competent authority shall provide the Agency with safety-significant information stemming from the occurrence reports stored in the national database pursuant to Article 6(6) of Regulation (EU) No 376/2014 as soon as possible.

- (c) The competent authority of the Member State shall provide the Agency, as soon as possible, with safety-significant information stemming from the information security reports it has received pursuant to point IS.D.OR.230 of Commission Delegated Regulation (EU) 2022/1645.

#### **ARGH.GEN.135 Immediate reaction to a safety problem**

- (a) Without prejudice to Regulation (EU) No 376/2014 and its delegated and implementing acts, the competent authority shall implement a system to collect, analyse and disseminate safety information.
- (b) The Agency shall implement a system to analyse any relevant safety information received and, without undue delay, provide Member States and the Commission with any information, including recommendations or corrective actions to be taken, necessary for them to react in a timely manner to a safety problem involving organisations responsible for the provision of ground handling services subject to Regulation (EU) 2018/1139 and its delegated and implementing acts.
- (c) Upon receiving the information referred to in (a) and (b), the competent authority shall take adequate measures to address the safety problem.
- (d) The competent authority shall immediately transmit the measures taken in accordance with point (c) to the ground handling organisation, which shall comply with them under Regulation (EU) 2018/1139 and its delegated and implementing acts. The competent authority shall also notify those measures to the Agency and, when combined action is required, to the other competent authorities concerned.
- (e) If relevant, the measures notified to a ground handling organisation shall also be notified to the aerodrome operator where the ground handling services provided are subject to those measures.

#### **ARGH.GEN.136 Immediate reaction to an information security incident or vulnerability with an impact on aviation safety**

- (a) The competent authority shall implement a system to appropriately collect, analyse, and disseminate information related to information security incidents and vulnerabilities with a potential impact on aviation safety that are reported by organisations. This shall be done in coordination with any other relevant authorities responsible for information security or cybersecurity within the Member State to increase the coordination and compatibility of reporting schemes.
- (b) The Agency shall implement a system to appropriately analyse any relevant safety-significant information received in accordance with point ARGH.GEN.125(c), and without undue delay provide the Member States and the Commission with any information, including recommendations or corrective actions to be taken, necessary for them to react in a timely manner to an information security incident or vulnerability with a potential impact on aviation safety involving products, equipment, persons or organisations subject to Regulation (EU) 2018/1139 and its delegated and implementing acts.
- (c) Upon receiving the information referred to in points (a) and (b), the competent authority shall take adequate measures to address the potential impact of the information security incident or vulnerability on aviation safety.

- (d) Measures taken in accordance with point (c) shall immediately be notified to all persons or organisations that shall comply with them under Regulation (EU) 2018/1139 and its delegated and implementing acts. The competent authority shall also notify those measures to the Agency and, when combined action is required, the competent authorities of the other Member States concerned.

## **SUBPART MGM – MANAGEMENT**

### **ARGH.MGM.200 Management system**

- (a) The competent authority shall establish and maintain a management system, including all the following elements:
- (1) documented policies and procedures to describe its organisation, means and methods to achieve compliance with Regulation (EU) 2018/1139 and its delegated and implementing acts. The procedures shall be kept up to date and serve as the basic working documents within that competent authority for all related tasks;
  - (2) sufficient personnel, including inspectors, to perform its tasks and discharge its responsibilities. Such personnel shall have relevant aviation knowledge and experience and shall be qualified to perform the allocated tasks. The inspectors shall receive initial training, which includes on-the-job training, and recurrent training to ensure their continued competence, depending on their previous qualification and experience upon taking up their functions. The competent authority shall have a system to plan the availability of personnel, in order to ensure the proper completion of all tasks;
  - (3) adequate facilities and office accommodation to perform the allocated tasks;
  - (4) a function to monitor the compliance of the management system with the relevant requirements and adequacy of the procedures including the establishment of an internal audit process and a safety risk management process. Compliance monitoring shall include a feedback system of audit findings to the senior management of the competent authority to ensure implementation of corrective actions as necessary;
  - (5) a person or group of persons, ultimately responsible to the senior management of the competent authority for the compliance monitoring function.
- (b) The competent authority shall appoint for each field of activity, including the management system, one or more persons with the overall responsibility for the management of the relevant task(s).
- (c) In addition to the requirements in point (a), the management system established and maintained by the competent authority shall comply with Annex I (Part-IS.AR) to Regulation (EU) 2023/203 in order to ensure the proper management of information security risks which may have an impact on aviation safety.

**ARGH.MGM.205 Allocation of tasks to qualified entities**

- (a) The competent authority may allocate to qualified entities tasks related to the registration of declarations or continuing oversight of ground handling organisations subject to Regulation (EU) 2018/1139 and its delegated and implementing acts.
- (b) When allocating tasks to a qualified entity, the competent authority shall ensure that:
  - (1) it has a system in place to initially and continually assess whether the qualified entity complies with Annex VI to Regulation (EU) 2018/1139;
  - (2) this system and the results of the assessments are documented;
  - (3) it has established a documented agreement with the qualified entity, approved by both parties at the appropriate management level, which clearly defines:
    - (i) the tasks to be performed;
    - (ii) the declarations, reports and records to be provided;
    - (iii) the technical conditions to be met in performing such tasks;
    - (iv) the related liability coverage; and
    - (v) the protection given to information acquired in carrying out such tasks.
- (c) The competent authority shall establish procedures to ensure that all the information related to the submission of a declaration by a ground handling organisation is promptly communicated between the competent authority and the qualified entity.
- (d) The competent authority shall ensure that the internal audit process and safety risk management process referred to in point ARGH.MGM.200(a)(4) cover all tasks related to the acceptance of declarations or continuing oversight tasks performed on its behalf.
- (e) For the oversight of the ground handling organisation's compliance with point ORGH.MGM.201 of Commission Delegated Regulation xx [ground handling], the competent authority may allocate tasks to qualified entities in accordance with point (a) or to any relevant authority responsible for information security or cybersecurity within the Member State. When allocating tasks, the competent authority shall ensure that:
  - (1) all aspects related to aviation safety are coordinated and taken into account by the qualified entity or relevant authority;
  - (2) the results of the oversight activities performed by the qualified entity or relevant authority are integrated in the overall oversight files of the ground handling organisation;
  - (3) its own information security management system, established in accordance with point ARGH.MGM.200(c), covers all the continuing oversight tasks performed on its behalf.

**ARGH.MGM.210 Changes to the management system**

- (a) The competent authority shall have a system in place to identify changes that affect its capability to perform its tasks and discharge its responsibilities as defined in Regulation (EU) 2018/1139 and its delegated and implementing acts. The system shall enable it to take action, as appropriate, to ensure that its management system remains adequate and effective.

- (b) The competent authority shall update its management system to reflect any changes to Regulation (EU) 2018/1139 and its delegated and implementing acts in a timely manner, so as to ensure its effective implementation.
- (c) The competent authority shall notify the Agency of changes affecting its capability to perform its tasks and discharge its responsibilities as defined in Regulation (EU) 2018/1139 and its delegated and implementing acts.

#### **ARGH.MGM.211 Changes to the information security management system of a ground handling organisation**

- (a) For changes managed and notified to the competent authority in accordance with the procedure set out in point IS.D.OR.255(a) of Commission Delegated Regulation (EU) 2022/1645, the competent authority shall include the review of such changes in its continuing oversight in accordance with the principles laid down in point ARGH.OVS.300. If any non-compliance is found, the competent authority shall notify the organisation thereof, request further changes and act in accordance with point ARGH.OVS.325.
- (b) For other changes in accordance with point IS.D.OR.255(b) of Commission Delegated Regulation (EU) 2022/1645:
  - (1) upon receiving the application for the change, the competent authority shall check the organisation's compliance with the applicable requirements;
  - (2) the competent authority shall establish the conditions under which the organisation may operate during the implementation of the change.

#### **ARGH.MGM.215 Record-keeping**

- (a) The competent authority shall establish a system of record-keeping that ensures adequate storage, accessibility and reliable traceability of:
  - (1) the management system's documented policies and procedures;
  - (2) training, qualification and authorisation of its personnel;
  - (3) if applicable, the allocation of tasks to qualified entities, covering the elements required by point ARGH.MGM.205 as well as the details of tasks allocated;
  - (4) declaration process and continuing oversight of organisations responsible for the provision of ground handling services;
  - (5) the evaluation and notification to the Agency of AltMoC proposed by organisations responsible for the provision of ground handling services and the assessment of AltMoC used by the competent authority itself;
  - (6) findings, corrective actions and date of action closure, and observations;
  - (7) enforcement measures taken;
  - (8) safety information and follow-up measures; and
  - (9) the use of flexibility provisions in accordance with Article 71 of Regulation (EU) 2018/1139.
- (b) The competent authority shall maintain a list of all declarations it has received.
- (c) The records referred to in points (a) and (b) shall be kept for a minimum period of 5 years, subject to applicable data protection law.

## SUBPART OVS – OVERSIGHT AND ENFORCEMENT

### ARGH.OVS.300 Oversight

- (a) The competent authority shall verify:
  - (1) the continued compliance of the ground handling organisation with the applicable requirements; and
  - (2) the implementation of appropriate safety measures mandated by the competent authority in accordance with point ARGH.GEN.135.
- (b) The oversight shall:
  - (1) be supported by documentation intended to provide guidance for the competent authority personnel to perform their functions;
  - (2) provide the ground handling organisation concerned with the results of the oversight;
  - (3) be based on of audits and inspections, including ramp and unannounced inspections, when appropriate; and
  - (4) provide the competent authority with the evidence needed if further action is required, including the measures referred to in point ARGH.OVS.325.
- (c) The oversight scope shall consider the results of past oversight activities and the safety priorities.
- (d) The competent authority shall collect and process any information deemed useful for oversight and risk-based oversight, including for unannounced inspections, as appropriate.
- (e) When more than one competent authority is responsible for the oversight of the same organisation, the oversight shall be conducted in accordance with point ARGH.OVS.330.
- (f) For the oversight of the organisation's compliance with point ORGH.MGM.201 of Commission Delegated Regulation xx [ground handling], in addition to complying with points (a) to (d), the competent authority shall review any exemption granted under point IS.D.OR.200(e) of Delegated Regulation (EU) 2022/1645 following the applicable oversight audit cycle and whenever changes are implemented in the scope of work of the organisation.

### ARGH.OVS.305 Oversight programme

- (a) The competent authority shall establish and maintain an oversight programme covering the oversight activities required by ARGH.OVS.300.
- (b) The oversight programme shall be developed and implemented taking into account the following elements, as applicable:
  - (1) the services provided by the organisation;
  - (2) the complexity of the organisation;
  - (3) the results of past oversight;

- (4) the assessment of risks associated with the ground handling services provided by the organisation and its risk exposure;
- (5) the organisation's safety performance.
- (c) When establishing the oversight programme, the competent authority shall consider the use by the ground handling organisation of industry standards and good practices, based on the results of the evaluation referred to in point ARGH.OVS.310(a).
- (d) The competent authority shall apply an oversight planning cycle not exceeding 48 months, starting from the date when the initial declaration is received. The oversight programme and planning shall reflect the safety performance of the ground handling organisation, as well as its risk exposure. The oversight cycle shall include audits and inspections, including unannounced inspections, as appropriate.
- (e) The oversight planning cycle may be extended to maximum 72 months if:
  - (1) the competent authority has established that, during the previous audit cycle:
    - (i) the ground handling organisation has demonstrated an effective management system, including compliance monitoring, identification of aviation safety hazards and management of associated risks;
    - (ii) the ground handling organisation has continually demonstrated that it has full control over all changes in accordance with point ORGH.GEN.130 of Commission Delegated Regulation xx [ground handling];
    - (iii) no level 1 findings have been issued;
    - (iv) all corrective actions have been implemented within the time period accepted or extended by the competent authority in accordance with point ARGH.OVS.325(d); and
  - (2) the competent authority assesses that the annual activity report submitted by the ground handling organisation in accordance with point ORGH.MGM.202 of Commission Delegated Regulation xx [ground handling] is satisfactory in support of the safety performance of the organisation.
- (f) The oversight cycle may be reduced if there is evidence that the safety performance of the ground handling organisation has decreased.
- (g) The oversight programme shall include records of the dates when audits and inspections are due and when they have been carried out.

#### **ARGH.OVS.310 Industry standards**

- (a) For the purpose referred to in point ARGH.OVS.305(c), the Agency shall develop and implement a process to regularly evaluate, involving expertise provided by Member States, the content of industry standards used by ground handling organisations on a voluntary basis to comply with this Regulation.
- (b) Industry standards subject to the evaluation referred to in point (a) shall meet the following criteria as a minimum:
  - (1) they are developed, maintained, and endorsed with the participation of experts from relevant industry stakeholders;



- (2) they address the scope of Annexes II and III to Commission Delegated Regulation xx [ground handling], as applicable, in sufficient detail to ensure that the relevant implementing rules are met;
- (3) they are based on experience in the field and have proven themselves through testing;
- (4) if applicable, they are supported by scientific documentation, safety tests, and safety impact assessment;
- (5) they include the technical, operational and, if applicable, human-factors specifications for their safe implementation;
- (6) they clearly identify the responsibilities of the persons involved in their application;
- (7) they contain procedures for continuing review and improvement, to include lessons learned from daily operations and consider relevant innovations in the field.

### **ARGH.OVS.315 Oversight tasks**

- (a) The competent authority responsible for the oversight of an organisation providing ground handling services at aerodromes in its Member State shall ensure that all the following aspects are verified during an oversight cycle:
  - (1) compliance with Annex II to Commission Delegated Regulation xx [ground handling]:
    - (i) the organisation's management system including the organisational structure, processes, programmes and procedures applicable to the ground handling organisation as a whole;
    - (ii) safety policies and processes, safety management system, including hazard identification, risk assessment and mitigation, including mitigation of safety risks specific to the operational context at the aerodrome(s) subject to oversight;
    - (iii) the safety and occurrence reporting process and the reports related to the station(s) subject to oversight;
    - (iv) the management of changes and the declaration;
    - (v) the compliance monitoring function;
    - (vi) the record-keeping and documentation system, including the ground handling manual;
    - (vii) the ground handling training programmes, including the training records;
    - (viii) the ground support equipment (GSE) maintenance programme;
    - (ix) any other overarching organisational processes, programmes and procedures within the scope of its declaration and applicable to the organisation as a whole;
  - (2) compliance with Annex III to Commission Delegated Regulation xx [ground handling];
  - (3) any other necessary arrangements, approvals or authorisations required by the aerodrome operator.

- (b) When overseeing an organisation providing ground handling services in more than one Member State, the provisions of point ARGH.OVS.330 shall apply.

#### **ARGH.OVS.320 Declaration of ground handling organisations**

- (a) Upon receiving a declaration from a ground handling organisation, the competent authority shall acknowledge the receipt of the declaration or the notification of change, including the assignment of an individual reference number, and shall verify that it contains all the information required by point ORGH.DEC.100 and, for the notification of changes, point ORGH.GEN.130 of Commission Delegated Regulation xx [ground handling].
- (b) If the declaration is not duly filled in or contains information that is not in accordance with the applicable requirements, the competent authority shall notify the ground handling organisation about the missing or incorrect elements and request further information. If deemed necessary, the competent authority shall carry out an inspection of the organisation. If the non-compliance is confirmed, the competent authority shall take action as defined in point ARGH.OVS.325.
- (c) If the declaration contains information that the ground handling organisation provides or intends to provide services also at aerodromes in other Member States, the competent authority receiving the declaration or the notification of change shall inform all the other competent authorities concerned and shall ensure they have access to the declaration, the notification of change, and all related documentation.

#### **ARGH.OVS.325 Findings, observations, corrective actions and enforcement measures**

- (a) The competent authority shall have a system to analyse findings to determine their safety significance and to manage them with the purpose of:
- (1) ensuring that compliance with the requirements is established as soon as possible; and
  - (2) preventing their reoccurrence.
- (b) A level 1 finding shall be issued by the competent authority when it detects any significant non-compliance with the applicable requirements of Regulation (EU) 2018/1139 and its delegated and implementing acts or of the declaration submitted, which lowers flight or ground safety or seriously jeopardises it.

The level 1 findings shall include but not be limited to:

- (1) any failure to grant access of the competent authority to the facilities of the ground handling organisation as defined in point ORGH.GEN.140 of Commission Delegated Regulation xx [ground handling] during normal operating hours and after two written requests;
  - (2) any evidence of malpractice or fraudulent use of the declaration;
  - (3) the lack of an accountable manager.
- (c) A level 2 finding shall be issued by the competent authority when it detects any non-compliance with the applicable requirements of Regulation (EU) 2018/1139 and its delegated and implementing acts or of the declaration submitted, which is not classified as a level 1 finding and which could lower flight or ground safety or possibly jeopardise it.

- (d) When the competent authority, during investigation or oversight or by any other means, finds evidence that the ground handling organisation does not comply with the applicable requirements of Regulation (EU) 2018/1139 and its delegated and implementing acts or the declaration submitted in accordance with this Regulation, the competent authority shall:
- (1) raise a finding, record it, communicate it in writing to the representative of the organisation, and determine a reasonable period of time within which the organisation shall take the measures specified in point ORGH.GEN.150 of Commission Delegated Regulation xx [ground handling];
  - (2) in case of level 1 findings, if the ground handling organisation fails to submit an acceptable corrective action in accordance with point ORGH.GEN.150 of Commission Delegated Regulation xx [ground handling], take immediate and appropriate action to limit or prohibit the ground handling activities affected by the non-compliance until it has taken the corrective action referred to in point (1). The competent authorities involved in the oversight of the organisation shall assess whether failure to close a level 1 finding by one competent authority affects operation at the aerodromes under their oversight and shall take the appropriate action based on that assessment;
  - (3) in case of level 2 findings,
    - (i) request that the organisation provides a corrective-action plan including an implementation period appropriate to the nature of the finding. At the end of the period and subject to the nature of the finding, the competent authority may extend the initial period subject to a satisfactory revision by the organisation of the initial corrective-action plan with which it had agreed; and
    - (ii) assess the corrective-action plan proposed by the organisation and, if the assessment concludes that it is sufficient to address the non-compliance(s), accept it.

If the ground handling organisation fails to submit an acceptable corrective-action plan or to perform the corrective-action plan within the time period accepted or extended by the competent authority, the competent authority may escalate the level 2 finding to a level 1 finding, and take action as laid down in point (2);
  - (4) in applying the actions listed in points (2) and (3), coordinate with the other competent authorities concerned, as necessary, to ensure that the continuity of operation and provision of ground handling services at that aerodrome are not impeded;
  - (5) take any further enforcement measures necessary to ensure the closing of the non-compliance and, where relevant, remedy its consequences;
  - (6) record all findings it has raised and, where applicable, the enforcement measures it has applied as specified in Regulation (EU) 2018/1139 Article 62 points 2(d) and (e), as well as all corrective actions and date of action closure of findings.
- (e) The competent authority shall inform the aerodrome operator concerned of the findings to the ground handling organisation if those are relevant for the safety of that aerodrome.
- (f) For cases not requiring level 1 or level 2 findings, the competent authority may issue observations.

**ARGH.OVS.330 Cooperative oversight**

- (a) The competent authorities responsible for the oversight of organisations providing ground handling services in more than one Member State or at aerodromes that are under the oversight responsibility of more than one competent authority shall cooperate to ensure effective and efficient oversight of those organisations and their services. Those competent authorities shall ensure mutual exchange of information and assistance to complete their oversight tasks and responsibilities.
- (b) The cooperative oversight shall cover the following organisations having their principal place of business in a Member State and providing ground handling services in more than one Member State or at aerodromes that are under the oversight responsibility of more than one competent authority:
- (1) independent providers of ground handling services; and
  - (2) self-handling aircraft operators that may or may not be part of a single air carrier business grouping.
- (c) The mutual exchange of information shall cover the following elements:
- (1) declarations of ground handling organisations and related documents to prove compliance with Regulation (EU) 2018/1139 and its delegated and implementing acts;
  - (2) AltMoC used;
  - (3) audit reports, corrective actions, corrective-action plans, root-cause analyses, and any other information on the relevant findings raised, follow-up actions, as well as any enforcement measures taken as a result of oversight; and
  - (4) information stemming from mandatory and voluntary occurrence reporting as required by point ORGH.GEN.160 of Commission Delegated Regulation xx [ground handling].
- (d) The tasks for the oversight of an organisation under point (b) shall be assigned as follows:
- (1) The competent authority of the Member State where the organisation has its principal place of business shall verify the following elements, either on its own or supported by any of the other competent authorities concerned:  
*Compliance with Annex II Commission Delegated Regulation xx [ground handling]:*
    - (i) the organisation's management system elements of Annex II to Commission Delegated Regulation xx [ground handling] relating to the organisational structure, processes, programmes and procedures applicable to the ground handling organisation as a whole;
    - (ii) safety policies and processes, safety management system, including hazard identification, risk assessment and mitigation, including mitigation of safety risks specific to the operational context at the aerodrome(s) subject to oversight;
    - (iii) the safety and occurrence reporting process and the reports related to the aerodrome(s) subject to oversight;
    - (iv) the management of changes and the declaration;
    - (v) the compliance monitoring function;

- (vi) the record-keeping and documentation system, including the ground handling manual;
- (vii) the ground handling training programmes, including the training records;
- (viii) the GSE maintenance programme; and
- (viii) any other overarching organisational processes, programmes and procedures within the scope of its declaration and applicable to the organisation as a whole.

*Compliance with Annex III to Commission Delegated Regulation xx [ground handling]:*

- (ix) To complete the oversight scope, the competent authority referred to in point (1) shall also conduct inspections at the aerodromes in that State, as listed in point (2), to verify that the implementation of the organisation's management system elements is performed as documented.
- (2) Each competent authority of the Member States where the organisation provides ground handling services, other than the Member State where its principal place of business is located, shall oversee the safe provision of services at the stations in their Member State, by verifying the actual implementation of:
- (i) the organisation's management system elements of Annex II to Commission Delegated Regulation xx [ground handling], particularly relating to the ground handling organisation's activities at the station under its oversight:
    - (A) the organisational structure and ground handling activities;
    - (B) hazard identification;
    - (C) the risk assessment and mitigation, including mitigation of safety risks specific to the provision of services at the station(s) subject to oversight;
    - (D) the content of the ground handling manual, including the procedures and instructions of the aircraft operators operating at the station(s) subject to oversight, as well as the procedures of the relevant aerodrome operator(s);
    - (E) training records and implementation of the training programme at the station(s) subject to oversight;
    - (F) the GSE maintenance programme; and
    - (G) occurrence reports and internal safety reports relevant for the provision of ground handling services at the station(s) subject to oversight;
  - (ii) the operational requirements of Annex III to Commission Delegated Regulation xx [ground handling];
  - (iii) any other necessary arrangements, approvals or authorisations required by the aerodrome operator.
- (3) Each of the competent authorities in points (1) and (2) shall:
- (i) agree with the organisation on the proposed corrective-action plan and corrective actions to address the non-compliances identified at the station under their oversight;

- (ii) inform all the other competent authorities concerned on the audits and inspections reports and the corrective actions.
- (4) In case of a level 1 finding raised to an organisation referred to in point (b), the competent authority raising the finding shall immediately inform the other competent authorities concerned. Each of the competent authorities responsible for the oversight of that organisation shall assess whether and to what extent the finding affects the stations under its oversight. Each competent authority shall apply the most appropriate action it considers necessary to ensure, as the case may be, the closing of the non-compliance and remedy its consequences.
- (5) In case of a level 1 finding raised on the lack of an accountable manager, the competent authority raising the finding shall immediately inform the other competent authorities concerned and they shall all apply the same action uniformly at all the stations under their oversight. They shall take any further enforcement measure necessary to ensure the closing of the non-compliance and remedy its consequences.
- (6) All the competent authorities involved in the oversight of an organisation referred to in point (b) shall support the competent authority of the organisation's principal place of business to periodically update the assessment of the safety performance of the organisation based on the oversight reports from individual stations in the other Member States.
- (e) Any of the competent authorities responsible for the oversight of an organisation referred to in point (b) may support the competent authority of the Member State where the organisation has its principal place of business in performing the audits or inspections as specified in point (d)(1).
- (f) The competent authorities shall use the repository of information established in accordance with Article 74 of Regulation (EU) 2018/1139 to access the documents and information referred to in point (c).