	European Aviation Safety Agency		User Guide
***	Foreign Part-145 approvals	Doc #	UG.CAO.00126-004
**		Approval Date	11/11/2022

# Foreign Part-145 approvals - Components, engines and APU certifying staff

# UG.CAO.00126-004

	Name	Validation	Date
Prepared by:	Rosa Tajes	Validated	10/11/2022
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## DOCUMENT CONTROL SHEET

## **Reference documents**

a) Contextual documents

Applicable requirements are listed in the form "FO.CAO.00136-XXX - Foreign Part-145 approvals – Documentation Index".

## b) Internal documents

Applicable document are listed in the form "FO.CAO.00136-XXX - Foreign Part-145 approvals – Documentation Index".

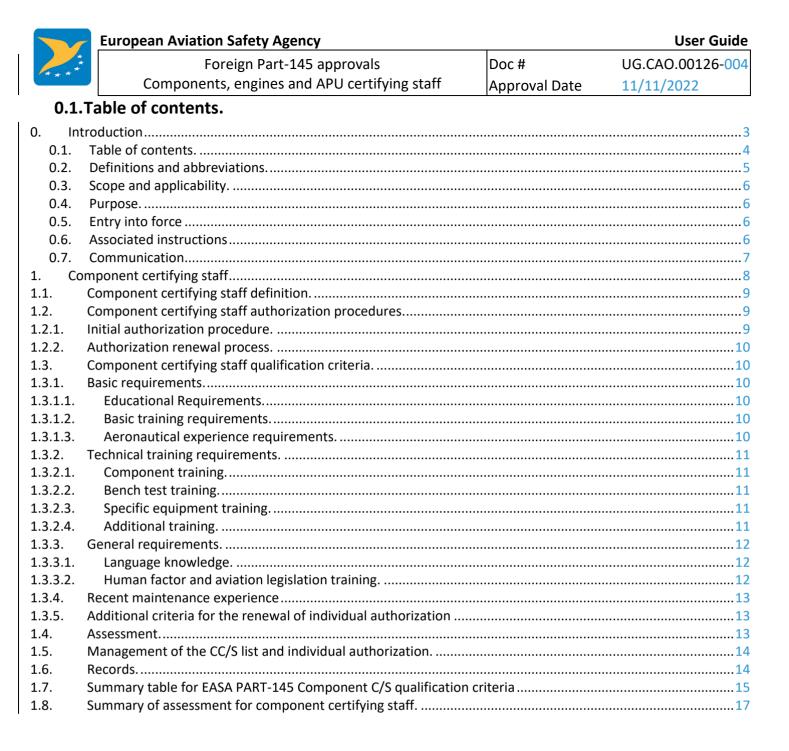
Log of is	Log of issues				
Issue date Change description					
001	13/11/2013	First issue. This document is aimed to provide the applicant with guidance material supporting the application/approval, and as such has been reviewed by Rulemaking Product Support Continuing Airworthiness Section (R.4.2).			
002	01/09/2014	Update of Quality documents to implement the new corporate image of the Agency and the changes to the organization structure.			
003	22/10/2015	Endorsement of comments received from stakeholders.			
004	11/11/2022	• Correction of typo error in par. 1.3.3.2 referring to a/c certifying staff instead of components certifying staff			
		Endorsement of Regulation (EU) 2021/1963 introducing SMS			



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0. Introduction









**0.2**. Definitions and abbreviations.

Abbreviations		
AMC	ACCEPTABLE MEANS OF COMPLIANCE	
AMO	APPROVED MAINTENANCE ORGANISATION	
AMTO	APPROVED MAINTENANCE TRAINING ORGANISATION	
AOG	AIRCRAFT ON GROUND	
BIPM	INTERNATIONAL BUREAU OF WEIGHTS AND MEASUREMENTS	
CAO	COMBINED AIRWORTHINESS ORGANISATION	
CAOA	CONTINUING AIRWORTHINESS ORGANISATION APPROVAL	
САР	CORRECTIVE ACTION PLAN	
CIPM	INTERNATIONAL COMMITTEE ON WEIGHTS AND MEASUREMENTS	
CMM	COMPLIANCE MONITORING MANAGER	
C/S	CERTIFYING STAFF	
CC/S	COMPONENT CERTIFYING STAFF	
EASA	EUROPEAN AVIATION SAFETY AGENCY	
EU	EUROPEAN UNION	
GM	GUIDANCE MATERIAL	
ILAC	INTERNATIONAL LABORATORY ACCREDITATION COOPERATION	
IORS	INTERNAL OCCURENCE REPORTING SYSTEM	
MOA	MAINTENANCE ORGANISATION APPROVAL	
MOAP	MAINTENANCE ORGANISATION APPROVAL PROCEDURES	
мос	MAINTENANCE OVERSIGHT COORDINATOR	
MOE	MAINTENANCE ORGANISATION EXPOSITION	
MOR	MANDATORY OCCURRENCE REPORTING	
MRA	MUTUAL RECOGNITION ARRANGEMENT	
NAA	NATIONAL AVIATION AUTHORITY	
NRAB	NATIONAL RECOGNISED ACCREDITATION BODY	
OEM	ORIGINAL EQUIPMENT MANUFACTURER	
OMS	OVERSIGHT MANAGEMENT SOFTWARE	
РРВ	PRINCIPAL PLACE OF BUSINESS	
QE	QUALIFIED ENTITY	
RAB	REGIONAL ACCREDITATION BODY	
S/S	SUPPORT STAFF	
SMS	SAFETY MANAGEMENT SYSTEM	
STCH	SUPPLEMENTAL TYPE CERTIFICATE HOLDER	
тсн	TYPE CERTIFICATE HOLDER	
WH	WORKING HOURS	
WHOC	WORKING HOURS EASA OVERSIGHT COORDINATOR	



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# 0.3. Scope and applicability.

EASA is the Competent Authority for maintenance organisations having their principal place of business located outside the EU, as established by EASA Part 145.1 "General" and is therefore responsible for the final approval of these maintenance organisations and for establishing procedures detailing how EASA Part-145 applications and approvals are managed.

This user Guide is applicable to EASA Part-145 applicant and EASA Part-145 AMOs' (hereafter referred as maintenance organisations) having their principal place of business located outside the EU Member States and which are not certified under the provisions of a bilateral agreement signed with the EU.

The provisions of this user guide are complementary to the requirements of Part-145 regulation "as amended" and does not supersede or replace the associated regulatory requirements.

According to the implementing rules to the Basic Regulation, the requirements for Component Certifying Staff shall be approved by the Agency in the case of maintenance organisations located outside the European Union.

## 0.4. Purpose.

This user guide is designed to be used by maintenance organisation and the assigned inspector when:

The maintenance organisation is:

- Defining the Component Certifying Staff qualification procedure in the MOE;
- Assessing each Component Certifying Staff authorisation granted.
- > The Assigned inspector is As a comparison document for:
  - Evaluating the Component Certifying Staff qualification procedure;
  - ensuring by sampling that Component Certifying Staff authorisation granted are compliant with the minimum criteria addressed in this guidance.

# 0.5.Entry into force

This User Guide is applicable on 2 December 2022, after publication on the EASA website and it is immediately in force for any Organisation undergoing an initial investigation process and for all cases where the approval is invalid (i.e. limited or suspended).

For organisations holding a valid approval, the revision UG.CAO.00126-003 can still be used for any change of components/engines/APU certifying staff during the transition period of Regulation (EU) 2021/1963. However, the organisation is expected to ensure that the components/engines/APU certifying staff meet UG.CAO.00126-004 as part of the SMS application package within 2 December 2023.

The entry into force date of this User Guide does not supersede the need to comply with any other entry into force date(s) established by applicable regulations.

# 0.6. Associated instructions

EASA has developed associated instructions (user guides, Forms, templates and work instructions), that detail specific matters, which have to be considered as an integral part of this procedure.

A complete listing of these documents, together with their applicability to the maintenance organisation or NAA / QE / EASA, is addressed in the current revision of the "Foreign Part-145 approvals – documentation Index", FO.CAO.00136-XXX (XXX identifies the revision number). Documents which are applicable to both NAA/QE/EASA and



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maintenance organisation are made available on the EASA Web Site (http://easa.europa.eu) - Continuing Airworthiness Organisations page.

Each time a cross reference is provided to another document or another chapter / paragraph of the same document, this reference is identified with grey text.

# 0.7. Communication

All documents and correspondences between the maintenance organisation, the overseeing authority and EASA shall be in the English language unless otherwise agreed by EASA.



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1. Component certifying staff



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# 1.1. Component certifying staff definition.

Component Certifying Staff (CC/S) means staff authorised by the maintenance organisations to release Engines, APU and components under the EASA Part-145 approval.

# **1.2.** Component certifying staff authorization procedures.

For a standardisation purpose, when a maintenance organisations is nominating component certifying staff, the maintenance organisations shall detail in its maintenance Organisation Exposition (MOE) the relevant CC/S authorisation procedures (initial and renewal) together with the adequate qualification criteria depending on the complexity of the component and the assessment process.

Criteria defined within the Local Aviation Authority<sup>1</sup> regulation could apply. However, where the national criteria are less restrictive than the one described below, the applicant should not be eligible for an EASA Part-145 C/S individual authorisation.

## 1.2.1. Initial authorization procedure.

• The maintenance organisation shall detail in its Maintenance Organisation Exposition the established prerequisites to be eligible as EASA Part-145 Component Certifying Staff as per the Minimum qualification criteria detailed in the following paragraphs from §1.3.1 to § 1.3.4:

In addition, the maintenance organisation shall also explain in maintenance Organisation Exposition §3.9 (refer also to the "Foreign Part-145 approvals – MOE" UG.CAO.00024-XXX) the Assessment procedure for granting CC/S authorization which shall at least specify:

- the person responsible for this process;
- when the assessment shall take place;
- the validation of qualification records;
- procedures for the initial assessment (i.e. methods, including actions to be taken when the assessment is not satisfactory);
- recording of assessment results;
- the management of the C/S List and individual authorizations;
- the C/S records (responsibility, content of the (C/S) files, etc,..).

Further guidance on the assessment of the CC/S is addressed in the paragraph §1.4.

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<sup>&</sup>lt;sup>1</sup> Local Aviation Authority: means the aviation authority of the country where the maintenance organisation has its principal place of business. © European Aviation Safety Agency. All rights reserved. ISO9001 Certified



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### 1.2.2. Authorization renewal process.

The maintenance organisation shall detail in its MOE the CC/S authorization renewal prerequisites such as but not limited to:

- The recurrent training requirements (maintenance organisation procedures, relevant technology, safety management including human factor issues);
- The maintenance experience requirements (6 months of relevant experience in the previous 2 year period) and the associated records of evidence;
- The assessment procedure for renewing the CC/S authorization which shall at least specify:
  - the persons responsible for this process;
  - when the assessment shall take place;
  - the validation of qualification records;
  - means and methods for the continuous control of competency;
  - o actions to be taken when assessment is not satisfactory;
  - recording of assessment results;
- The management of the CC/S List and individual CC/S authorizations;
- The CC/S records (responsibility, record of experience, content of the CC/S files).

# **1.3.** Component certifying staff qualification criteria.

## 1.3.1. Basic requirements.

## 1.3.1.1. Educational Requirements.

The minimum educational level shall be a school level or apprenticeship evidenced by the appropriate certificates.

#### **1.3.1.2.** Basic training requirements.

The CC/S shall be able to demonstrate he/she received a basic training on the appropriate field:

- an aeronautical school diploma or certificate or;
- a technical school diploma / certificate, if the intended scope of work concerns noncomplex electrical components or instruments and cabin and safety equipment or;
- an aeronautical military school diploma or certificate.

Depending on the complexity of the intended scope of authorization, a higher level of the basic training shall be considered.

## 1.3.1.3. Aeronautical experience requirements.

The CC/S shall be able to demonstrate at least:

- 2 years of Aeronautical experience in the field of aviation maintenance including at least 12 months of practical experience in the specific component maintenance area / Workshop;
- 3 years in the field of aviation maintenance for complex components such as engine/APU and Landing gears including 24 Months of practical experience in the specific component maintenance area / Workshop;





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# 1.3.2. Technical training requirements.

# 1.3.2.1. Component training.

Depending on the complexity and the technology of the component, the CC/S shall be able to demonstrate he/she received appropriate<sup>2</sup> theoretical and practical component training from:

- the OEM or;
- the OEM recognized training organization or;
- An appropriately rated maintenance organisation provided:
  - the person nominated to carry out the training can demonstrate he/she has received training to an appropriate level for the subject component;
  - the person nominated to carry out the training is appropriately authorized by the maintenance organisation and is able to demonstrate a significant experience on the relevant component maintenance;
  - the training syllabus has been reviewed by the Engineering Manager and/or the Compliance Monitoring Manager;
  - $\circ$   $\;$  the component is available for practical training purpose;

For simple component, the maintenance organisation may take credit of the CC/S experience and/or a previous training on a component from the same family and same technology.

# 1.3.2.2. Bench test training.

Where there is a need to use Bench test (e.g. engine or ATEC bench test), the CC/S shall be able to demonstrate he/she received appropriate training. This training for the use of specific tools required by the OEM maintenance data shall be received from:

- The OEM or;
- The bench test manufacturer or;
- An appropriately rated maintenance organisation.

# 1.3.2.3. Specific equipment training.

Where there is a need to use specific equipment, the CC/S shall be able to demonstrate he/she received the appropriate training. This training for the use of specific tools required by the OEM maintenance data shall be received from:

- The OEM or;
- The specific tool manufacturer or;
- An appropriately rated maintenance organisation.

# 1.3.2.4. Additional training.

The C/S shall be able to demonstrate he/she received, as appropriate, training on:

- Initial Safety Training (including Human Factor)<sup>3</sup>;
- MOE and internal procedures applicable to CC/S (including issuance of EASA form 1).

In addition, where needed, the CC/S shall demonstrate he/she received appropriate training on:

<sup>&</sup>lt;sup>3</sup> Having completed a Module 9 HF training does not supersede the need to comply with the initial Safety training including human factorsF in accordance with 145.A.30 (e). However, credit may be taken from the module 9 Human Factor training for the topics which are common in both trainings, provided the Module 9 HF training has been completed within the previous two years.



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<sup>&</sup>lt;sup>2</sup> "appropriate training" means that the training level/detail is proportional to the maintenance level granted to the concerned certifying staff as identified in his/her certification authorisation.



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- Fuel Tank Safety items, CDCCL level 1, or level 2 after 31/12/2010, (refer to Appendix IV to AMC to 145.A.30(e));
- Electrical Wiring Interconnection System (refer to AMC 20-22 for further details);
- Any additional training(s) justified during the assessment performed by the maintenance organisation (e.g. human factor, aviation legislation, etc..).

### 1.3.3. General requirements.

#### 1.3.3.1. Language knowledge.

The CC/S shall be able to demonstrate a working knowledge of the language in which the maintenance data is published AND English.

## **1.3.3.2.** Human factor and aviation legislation training.

The CC/S shall be able to demonstrate he/she received a training on:

- human factors referred to in module 9 of Appendix I to Annex III (EASA Part-66). The maintenance organisation shall ensure and be in a position to demonstrate that the Human factor training syllabus and the training level are compliant to the syllabus and the level (B1/B2) of training of Appendix I to Annex III (EASA Part-66). The demonstration process is left to the discretion of the maintenance organisation;
- a training on aviation legislation referred to in module 10 of Appendix I to Annex III (EASA Part-66):

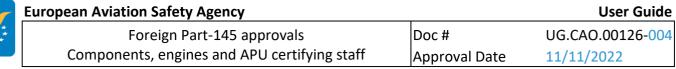
The maintenance organisation shall ensure and be in a position to demonstrate that the aviation legislation training syllabus and the training level are compliant to the syllabus and the level (B1/B2) of training of Appendix I to Annex III (EASA Part-66). The demonstration process is left to the discretion of the maintenance organisation.

A proposed Components Certifying staff (CC/S) is considered compliant with the above requirements without further need of investigation, if one of the following evidences is available:

- examination certificate of recognition (CoR) issued by an EASA Part-147 AMTO for the relevant module 9 or 10, or;
- when only a statement issued by an EASA Part-147 AMTO can be provided, stating that the
  person has attended the relevant module 9 or 10 according to the corresponding EASA Part-66
  syllabus, then the maintenance organisation shall also ensure that that the course is carried out
  according to a detailed syllabus including level of training as per EASA Part-66 Module 9 and/or
  10 as applicable (the duration of the course need to be specified to demonstrate the adequacy
  to cover all subjects).

When selecting a non-EASA Part-147 AMTO to provide the Module 9 Human Factor training and/or Moduel 10 Aviation Legislation, the maintenance organisation compliance monitoring function shall be in a position to demonstrate as a minimum that:

- the course is carried out according to a detailed syllabus including level of training as per EASA Part-66 Module 9 and/or 10 as applicable (the duration of the course need to be specified to demonstrate the adequacy to cover all subjects);
- the qualification criteria for instructors is defined;
- a maximum number of training hours per day is defined (HF principal to be considered);



• a maximum of trainees per group of trainees (28 person).

#### 1.3.4. Recent maintenance experience

The maintenance organisation shall ensure that CC/S can demonstrate recent experience on the Component area/ workshop relevant to the component type intended to be authorised.

The recent maintenance experience shall be understood as meeting the requirement of 6 month of experience in two years period preceding the intended date of issuance of the certification authorization. Further guidance is provided in "Foreign Part-145 approvals – demonstration of 6/24 months maintenance experience UG.CAO.00128-XXX

#### **1.3.5.** Additional criteria for the renewal of individual authorization

The CC/S shall receive recurrent training that covers relevant technologies, , safety management including Human Factors, FTS, EWIS Aviation Regulation (Part-145) and organization procedures as applicable to the approval ratings and scope of work.

The CC/S shall demonstrate 6 months of experience during the two year period preceding the renewal of authorisation. Further guidance is provided in "Foreign Part-145 approvals – demonstration of 6/24 months maintenance experience UG.CAO.00128-XXX.

## 1.4. Assessment.

The aim of the assessment is to ensure compliance of the Component Certifying Staff with the relevant EASA Part 145 requirements, with the criteria defined in this user guide and to ensure that each CC/S possesses the expected competency(s) associated to his/her job function (proposed scope of work and level of maintenance<sup>4</sup>), before granting him/her an initial EASA Part-145 C/S individual authorisation, to renew or to extend the scope of his/her issued authorisation. This assessment shall also take into consideration attitude and behaviour

As a consequence the maintenance organisation shall demonstrate through a competency assessment that the CC/S:

- Meets the qualification criteria addressed above;
- Has the relevant knowledge, skills and ability to perform the maintenance tasks related to his/her job function including the relevant language knowledge;
- Is able to determine when the Component is ready to be released to service and when it shall not be released to service.

In the case of initial authorisation or extension of the scope of an already existing authorisation, the competency assessment must:

- Be specifically tailored to the component type (s) intended to be covered by the certifying staff authorization;
- The competency assessment shall include evaluation of "On the Job Performance" and /or "testing of knowledge" by appropriately qualified personnel;
- In addition, it is recommended that the assessment form contains an open text field where the person responsible for the assessment records the questions raised, comments or any other information useful to support the recommendation for the pass/fail result. A "box-ticking" exercise would be pointless.

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<sup>&</sup>lt;sup>4</sup> Level of maintenance means Overhaul, test, repair, Level 1, 2 and 3 for electronic / electric components as addressed in the CMM.

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The qualification criteria addressed above are summarized in chapter "Summary table for EASA PART-145 Component C/S qualification criteria" of this user guide. The minimum topics to be assessed during the initial EASA Part-145 C/S individual authorisation process, the renewal or extension of his/her scope of authorisation are summarised in the table in chapter "Summary of assessment for component certifying staff "of this user guide.

# **1.5.** Management of the CC/S list and individual authorization.

The management of the list of Certifying Staff and the management of the EASA Part-145 C/S individual authorisation shall be detailed within the exposition and approved by the competent authority. The maintenance organisation is responsible to ensure that the CC/S remains current in terms of procedures, safety management including HF and technical knowledge. This continuation training should be of sufficient duration in each two year period, the maintenance organisation is strongly advised to align the validity of the CC/S authorisation accordingly.

# 1.6. Records.

The compliance monitoring system shall review and archive in an exhaustive manner the relevant files resulting from the implementation of this procedure.

This means that the maintenance organisation shall keep records of all evidence associated to the CC/S authorisation. This means that certificates, experience log book, diploma, continuation training evidence, assessment evidence (including assessment results which could be in a form chosen by the maintenance organisation) shall be kept.





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# 1.7. Summary table for EASA PART-145 Component C/S qualification criteria

		Engine/APU/ Propeller	Hydraulic components (L/G assy, actuator, etc)	Electrical co (Motors, chargers, supplies, etc)	omponents actuators, power batteries,	Electronic components ( card assy, mike, head set, etc)	Mechanical components (Wheel, Brake unit, structure,)	Electronic Units ( computers, com/nav receiver, indicators, power supplies,)	Instruments	<b>Cabin Equipment</b> (BFE, PSU, Pax Entertainment)	Safety equipment (Life raft, life jacket, O <sup>2</sup> bottle, O <sup>2</sup> masks,)
requirements	Educational level	Secondary school									
	Basic training	Aeronautical & technical school or Aeronautical military school or QA assessment									
Basic requi	Aeronautical experience	2 years of Aeronautical experience in the field of aviation maintenance including at least 12 months of practical experience in the specific component maintenance area / workshop. For complex components such as engine/APU and Landing gears including, 3 years of Aeronautical experience is required in the field of aviation maintenance including 24 Months of practical experience in the specific component maintenance area / workshop.									
	Component training	OEM or OEM recognized Training Org. or EASA Part-145 AMO.									
	Bench test training	OEM of the bench test or EASA Part 145-AMO									
	Tool training	OEM or EASA Part-145 AMO									
Technical training	Additional training	<ul> <li>Initial Safety Training (including Human Factor<sup>5</sup>);</li> <li>MOE and internal procedures applicable to CC/S (including issuance of EASA form 1).</li> <li>In addition, where needed, the CC/S shall demonstrate he/she received appropriate training on:         <ul> <li>Fuel Tank Safety items, CDCCL level 1, or level 2 after 31/12/2010, (refer to Appendix IV to AMC to 145.A.30(e) for further details);</li> <li>Electrical Wiring Interconnection System (refer to AMC 20-22 for further details);</li> <li>Any additional training(s) justified during the assessment performed by the maintenance organisation (e.g. human factor, aviation legislation, etc).</li> </ul> </li> </ul>									
General training	Language knowledge	Working knowledge of the language in which the maintenance data is published AND working knowledge of English for the CRS (and for EU Airworthiness directives if required).									
al tra	HF and Aviation legislation training	Human Factor (Module 9) and aviation Legislation (Module 10) training as detailed in the EASA Part- 66.									
Gener	Recent Maintenance experience	6 months of experience in two years period preceding the intended date of issuance of the certification authorization									
	Recurrent training	Relevant technology training (this could be delivered OEM or OEM recognized Training Org. or EASA Part-145 AMO)									
a la		Safety management including human factors									
Renewal criteria		Part-M, Part-145 and other relevant regulations									
Re cri		Organisation's procedures applicable to CCS (MOE, etc)									

<sup>5</sup> Having completed a Module 9 HF training does not supersede the need to comply with the initial HF training in accordance with 145.A.30.(e). However, credit may be taken from the module 9 Human Factor training for the topics which are common in both trainings, provided the Module 9 HF training has been completed within the previous two years

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		FTS and EWIS, when relevant,					
	Maintenance experience	6 months of relevant experience in the previous 2 year period					

Note: The complexity and technology of the component shall be considered. For simple component, the maintenance organisation may take credit of the CC/S experience and/or a previous training on a component from the same family and same technology.

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L.8.	Summary of assessment for component cert	rying staff.	
-	of the Assessment		
🗆 Initial g	-		
	petency assessment shall include evaluation of "On the Job Perf	ormance" and /or "tes	sting of knowledge" by
appropria	ately qualified personnel		
	I QUALIFICATION		
I.1.	Refer to the Summary table (§1.7) for Foreign Part- 145 Component C,	/S qualification	
	II KNOWLEDGE	and the first second	Open text field box <sup>6</sup>
II.1.	Knowledge of safety management, human factors, human performan just culture		
II.2.	Knowledge of maintenance organisation capabilities, privileges and lin		
11.3.	Knowledge of EASA Part-M, EASA Part-145 (and any other relevant re	gulations)	
II.4. II.5.	Knowledge of relevant parts of the MOE and associated procedures		
II.5. II.6.	Knowledge of safety risks linked to the working environment Knowledge on CDCCL (when relevant)		
II.7.	Knowledge on EWIS (when relevant)		
II.8.	Knowledge of occurrence reporting system and understanding of the ir	nportance of reporting	
	occurrences, incorrect maintenance data and existing or potential def	ects	
11.9.			
	III UNDERSTANDING		Open text field box <sup>6</sup>
.1.    .2.	Understanding of professional integrity, behavior and attitude toward	-	
111.2.	Understanding of conditions for ensuring continuing airworthin components		
III.3.	Understanding of his/her own human performance and limitations		
III.4.	Understanding of personnel authorisations and limitations		
III.5.	Understanding critical task IV ABILITY		Open text field box <sup>6</sup>
IV.1	Ability to supervise the performance of tasks carried out by no mechanics, etc.)	n C/S personnel (i.e.	Open text held box
IV.2	Ability to compile and control completed work cards		
IV.3	Ability to consider human performance and limitations.		
IV.4	Ability to determine required qualifications for task performance		
IV.5	Ability to identify and rectify existing and potential unsafe conditions		
IV.6	Ability to check and document proper accomplishment of maintenance	e tasks	
IV.7	Ability to identify and properly plan performance of critical task		
IV.8	Ability to prioritize tasks and report discrepancies		
IV.9	Ability to process the work requested by the customer		
IV.10	Ability to properly process removed, uninstalled and rejected parts		
IV.11 IV.12	Ability to properly record and sign for work accomplished Ability to determine the acceptability of parts to be installed prior to f	itment	
IV.12	Ability to understand work orders, work cards and refer to and use a		
	data		
IV.14	Ability to use information systems		
IV.15	Ability to use, control and be familiar with required tooling and/or equination of the second	uipment	
IV.16	Teamwork, decision-making and leadership skills		
IV.17	Adequate communication and literacy skills:		
	The Component certifying staff shall be able to demonstrate a wor		
	language in which the maintenance data is published. In addition, sho		
	maintenance data not be English, then English language working know list shall not be considered as exhaustive. It remains the responsibility of		

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<sup>&</sup>lt;sup>6</sup> open text field where the person responsible for the assessment records the questions raised, comments or any other information useful to support the recommendation for the pass/fail result

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